Directions in Interpretability

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HEIBRIDS lecture

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Slides and links available at <u>ruthfong.com</u>





What is interpretability?

Research focused on explaining complex AI systems in a human-interpretable way.

Why interpretability?

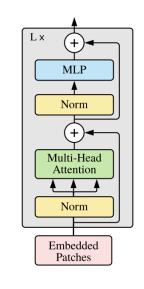
- Science
- Trust
- Learning

An incomplete retrospective: the first decade of deep learning





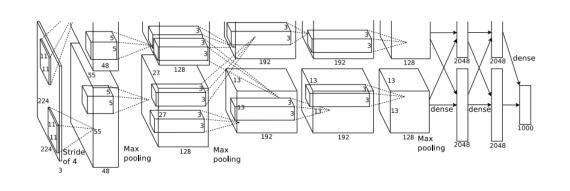
GANs (2014-2018) GAN, ProGAN, CycleGAN



Transformers (2017-now)

Transformer, BERT, ViT

2012



CNNs (2012-2016)

AlexNet, VGG16, GoogLeNet, ResNet50



Self-supervised learning (2016-now)

Colorization, MOCO, SWaV



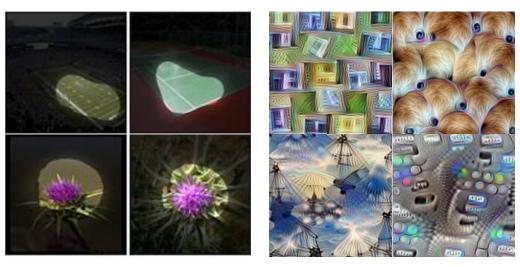
Diffusion models (2020-now)

DDPM, <u>DALL-E 2</u>, Imagen

[Krizhevsky et al., NeurIPS 2012; Zhu* & Park* et al., ICCV 2017; Zhang et al., ECCV 2016; Dosovitskiy* et al., ICLR 2021; Ramesh et al., arXiv 2022]

2022

An incomplete retrospective: the first decade of interpretability



Feature visualization (2013-2018)

Activation Max., Feature Inversion, Net Dissect, Feature Vis.



Attribution heatmaps (2013-2019)

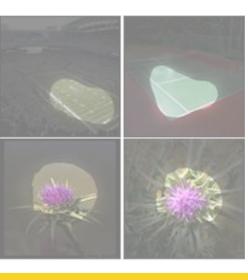
Gradient, <u>Grad-CAM</u>, Occlusion, <u>Perturbations</u>, RISE

Interpretable-by-design (2020-now)

Concept Bottleneck, ProtoPNet, ProtoTree

[Selvaraju et al., ICCV 2017; Fong* & Patrick* et al., ICCV 2019; 5 Bau* & Zhou* et al., CVPR 2017; Olah et al., Distill 2017; Koh*, Nguyen*, Tang* et al., ICML 2020]

An incomplete retrospective: the first decade of interpretability





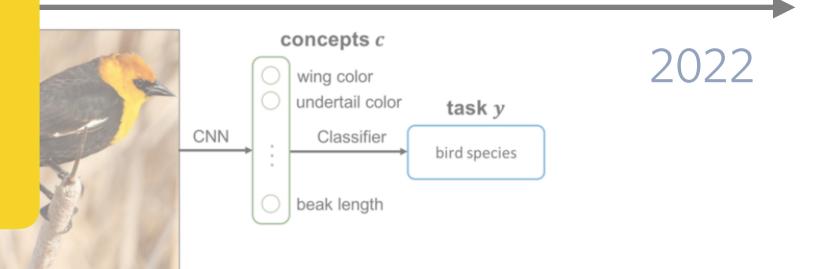
Primarily focused on understanding and approximating **CNNs**



Exceptions:

GANPaint [Bau et al., ICLR 2019]

Transformer Circuits [Elhage et al., 2021]



Attribution heatmaps (2013-2019)

Gradient, <u>Grad-CAM</u>, Occlusion, <u>Perturbations</u>, RISE

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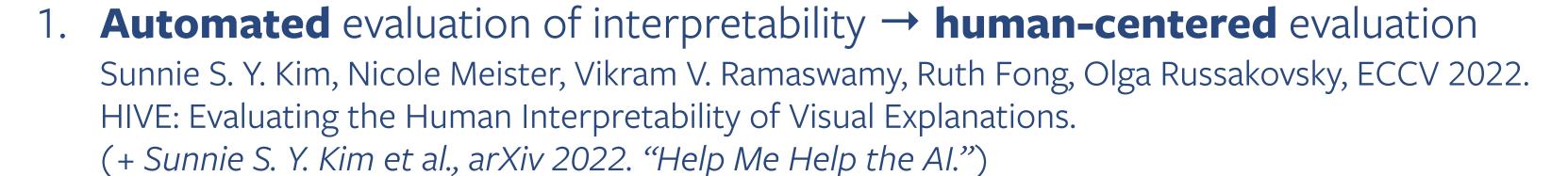
Directions for the next decade of interpretability

- 1. Develop interpretability methods for diverse domains
 - Beyond CNN classifiers: self-supervised learning, generative models, etc.
- 2. Center **humans** throughout the development process
 - In design, co-develop methods with real-world stakeholders.
 - In evaluation, measure human interpretability and utility of methods.
 - In deployment, package interpretability tools for the wider community.

Roadmap

- Automated evaluation of interpretability → human-centered evaluation
 Sunnie S. Y. Kim, Nicole Meister, Vikram V. Ramaswamy, Ruth Fong, Olga Russakovsky, ECCV 2022.
 HIVE: Evaluating the Human Interpretability of Visual Explanations.
 (+ Sunnie S. Y. Kim et al., arXiv 2022. "Help Me Help the Al.")
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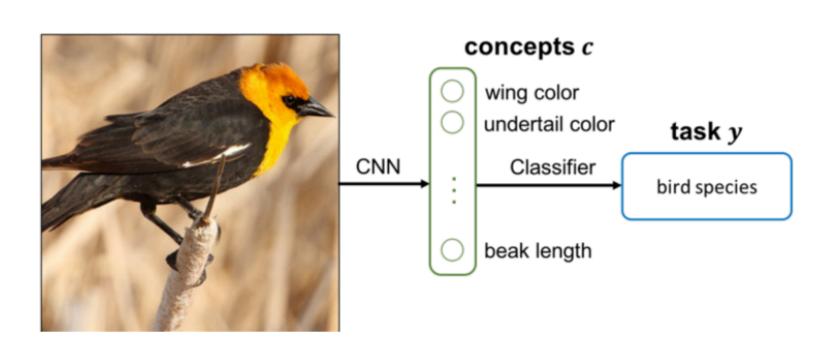
Sunnie S. Y. Kim

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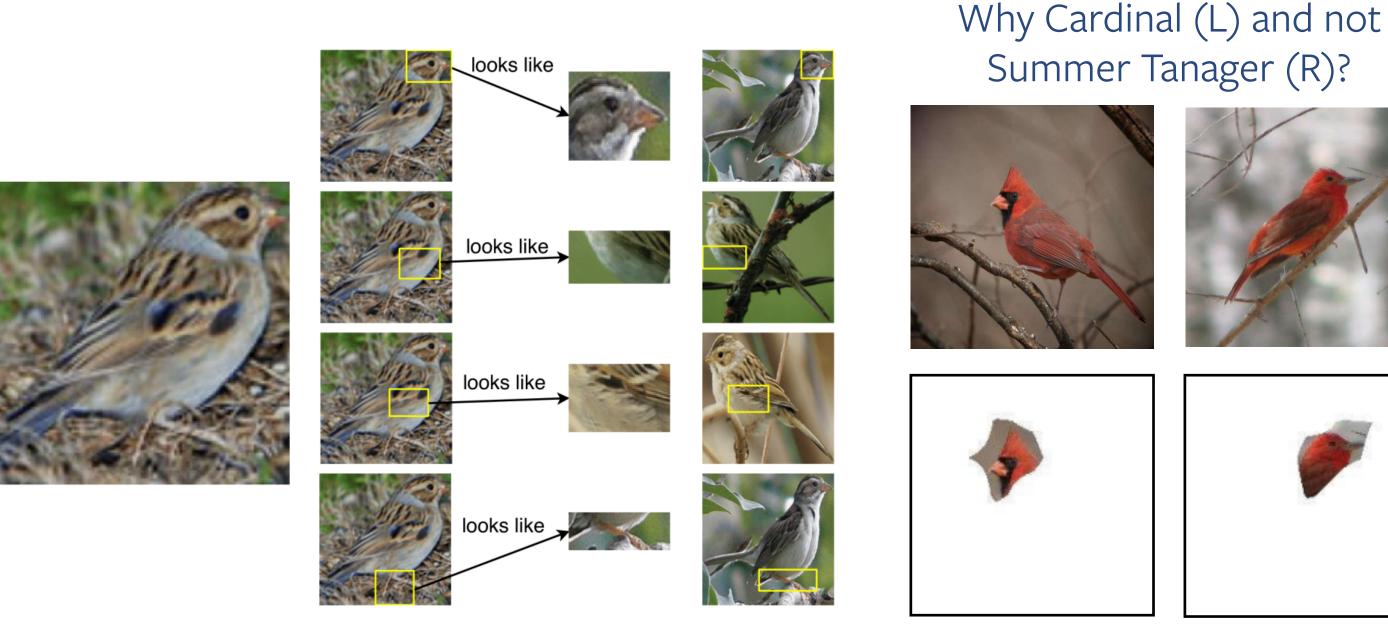
Explanation form factors: Why did the model predict Y?



Heatmap explanations (e.g. Grad-CAM)



Concept-based explanations (e.g. Concept Bottleneck)



Prototype explanations
(e.g. ProtoPNet)

Counterfactual explanations
(e.g. SCOUT)

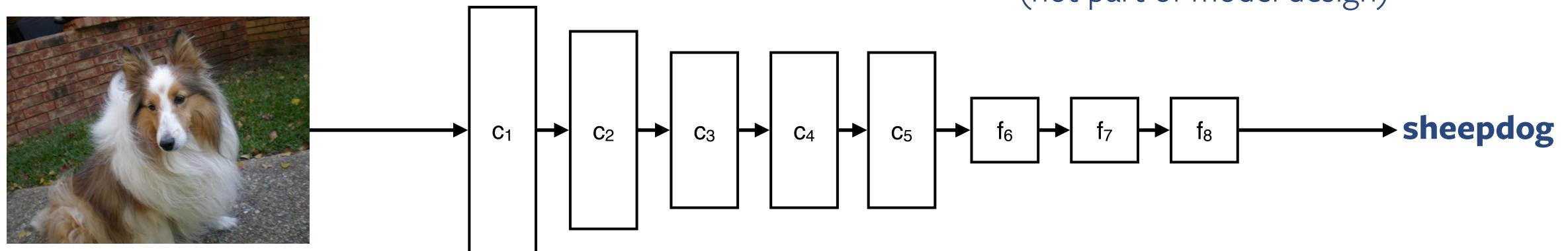
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Post-hoc explanations

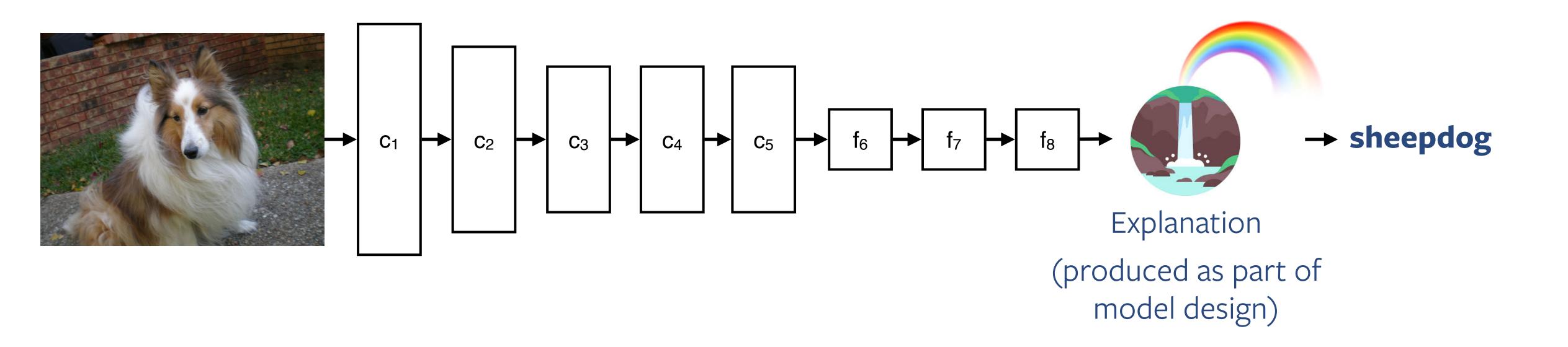


Explanation

(not part of model design)



Interpretable-by-design models

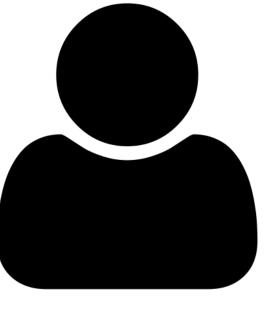


Current metrics focus on heatmap evaluation

- Weak localization performance [Zhang et al., ECCV 2016]
- Perturbation analysis
 - Deletion game [Samek et al., TNNLS 2017]
 - Retrain with removed features [Hooker et al., NeurIPS 2019]
- Sensitivity to...
 - output neuron [Rebuffi*, Fong*, Ji* et al., CVPR 2020]
 - model parameters [Adebayo et al., NeurIPS 2018]
- ...



- Sheng & Huang, HCOMP 2020 Guess the incorrectly predicted label
- Nguyen et al., NeurIPS 2021
 Is this prediction correct?
- Colin* & Fel* et al., arXiv 2021
 What did the model predict (choose one of two)?



Human

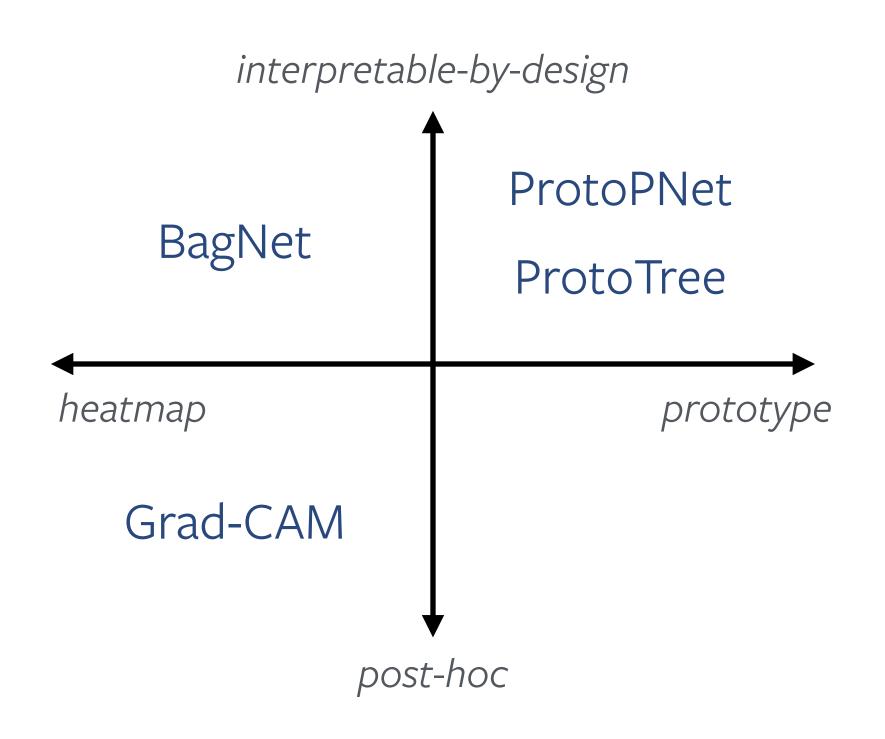
HIVE: Evaluating the Human Interpretability of Visual Explanations

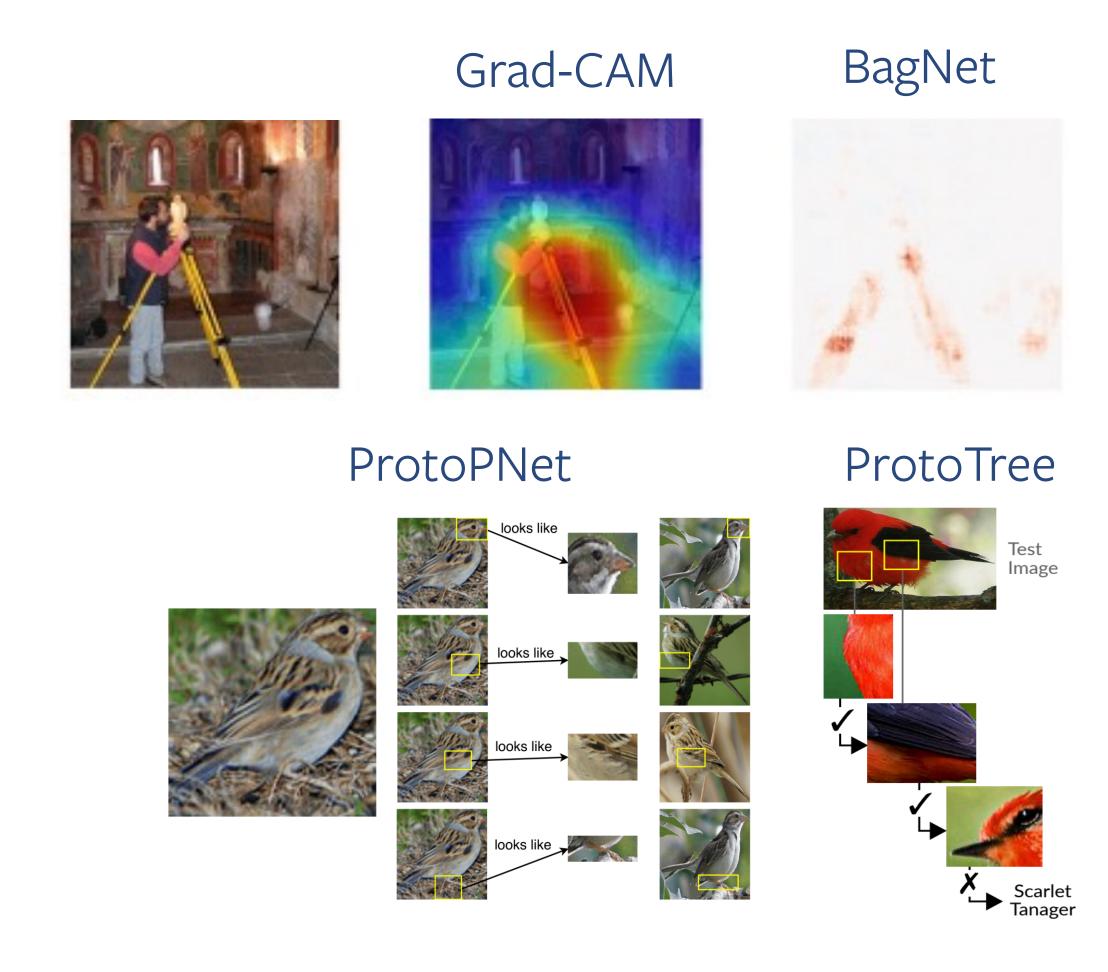
- 1. Within method → Cross-method comparison
- 2. Automated evaluation → **Human-centered evaluation**
- Intuition-based reasoning → Falsifiable hypothesis testing

Our contributions

- Novel human study design for evaluating 4 diverse interpretability methods
 - First human study for interpretable-by-design and prototype methods
- Quantify the utility of explanations in distinguishing between correct and incorrect predictions
- Quantify how users would trade off between interpretability and accuracy
- Open-source HIVE studies to encourage reproducible research

1. Cross-method comparison





[Selvaraji et al., ICCV 2017; Brendel & Bethge, ICLR 2019; Chen* & Li* et al., NeurIPS 2019, Nauta et al., CVPR 2021]

Agreement task

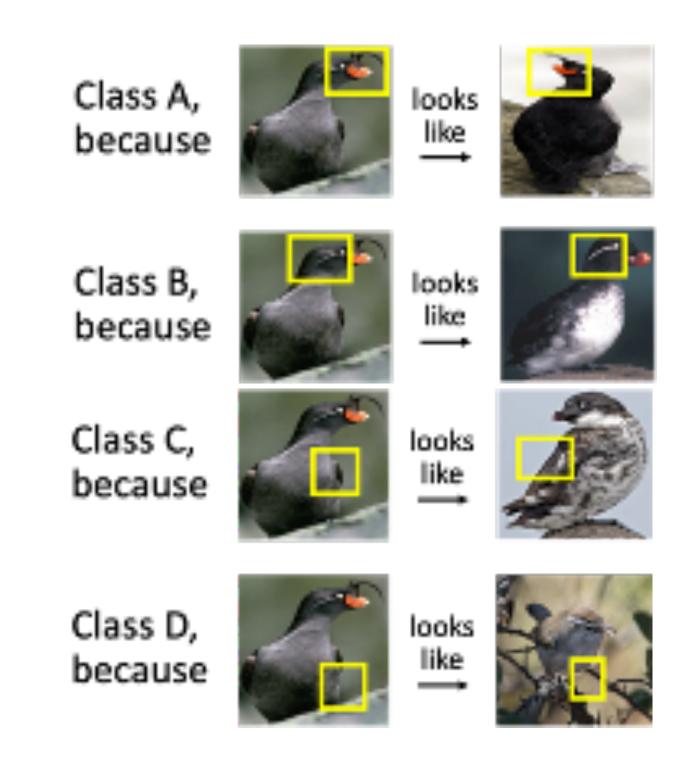
How confident are you in the model's prediction?



Experimental set-up: AMT studies with N=50 participants each

Distinction task

Which class do you think is correct?



Agreement task

How confident are you in the model's prediction?

Finding #1: Prototype similarities often do not align with human notions of similarity.

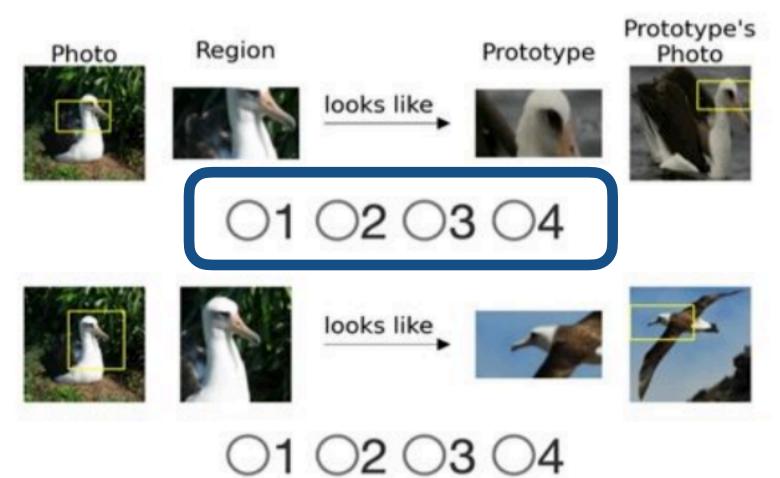
ProtoPNet and ProtoTree only

Task: Rate the similarity of each row's prototype-region pair on a scale of 1-4.

(1: Not Similar, 2: Somewhat Not Similar, 3: Somewhat Similar, 4: Similar)



Shown below is the model's explanation for its prediction (all prototypes and their source photos are from Species 2).





Q. What do you think about the model's prediction?

- Fairly confident that prediction is correct
- Somewhat confident that prediction is correct
- Somewhat confident that prediction is incorrect
- Fairly confident that prediction is incorrect

Agreement task

How confident are you in the model's prediction?

Finding #1: Prototype similarities often do not align with human notions of similarity.

> Finding #2: Agreement task reveals confirmation bias.

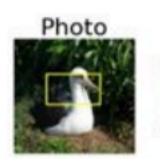
More than 50% were fairly or somewhat confident that a prediction is correct (even for incorrect predictions).

Task: Rate the similarity of each row's prototype-region pair on a scale of 1-4.

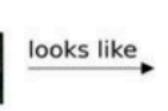
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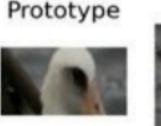


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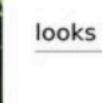
















- Q. What do you think about the model's prediction?
- Fairly confident that prediction is *correct*
- Somewhat confident that prediction is *correct*
- Somewhat confident that prediction is incorrect
- Fairly confident that prediction is incorrect

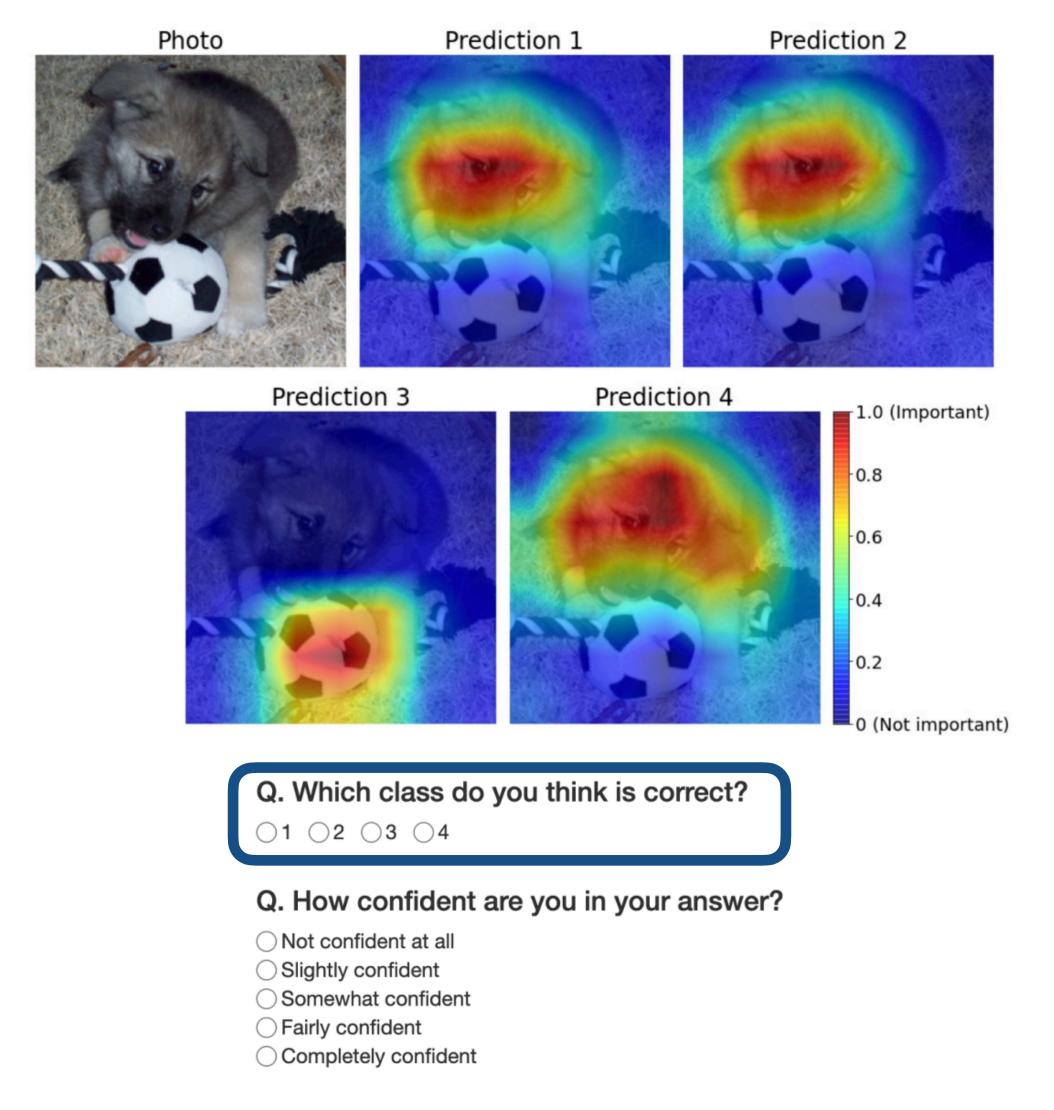
Distinction task

Which class do you think is correct?

Finding #3: Participants struggle to identify the correct class, esp. for incorrect predictions.

For incorrect predictions, correctly answered around 25% of the time (random guessing).

Goal: Interpretability should help humans identify and explain model errors.



3. Falsifiable hypothesis testing

Finding #1: Prototype similarities often do not align with human notions of similarity.

> Finding #2: Agreement task reveals confirmation bias.

Finding #3: Participants struggle to identify the correct class, esp. for incorrect predictions.

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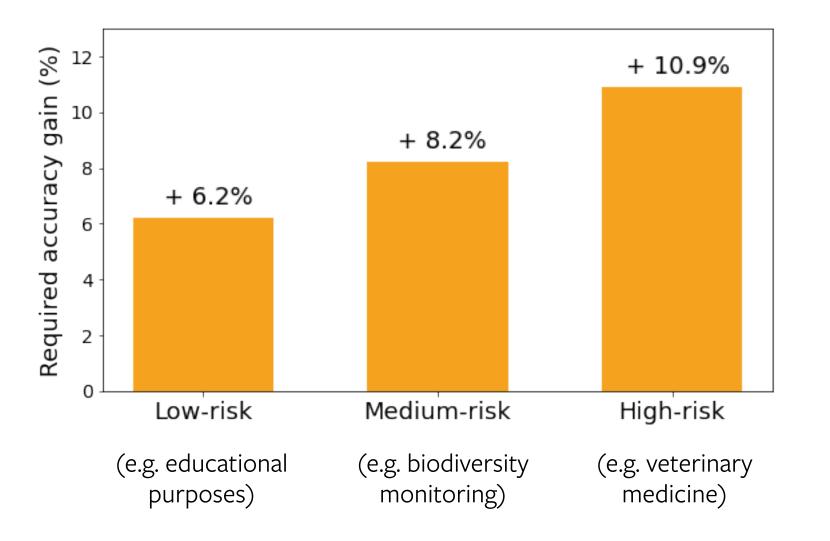
Finding #4: Participants prefer interpretability over accuracy, esp. in high-risk settings.

Follow up: Kim et al., arXiv 2022.

"Help Me Help the Al": Understanding How Explainability Can Support Human-Al Interaction.

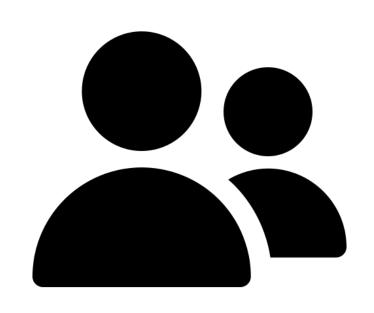
Interpretability-accuracy tradeoff

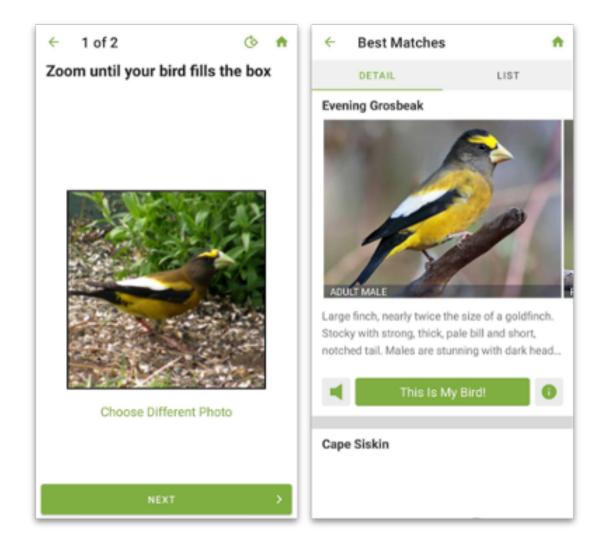
Q: What is the minimum accuracy of a baseline model that would convince you to use it over a model with explanations?



Follow up: "Help Me Help the Al" — interview study with Merlin users

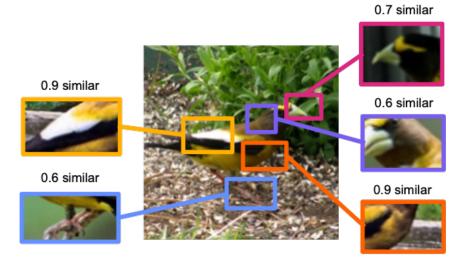
What kind of explanation best explains this prediction?



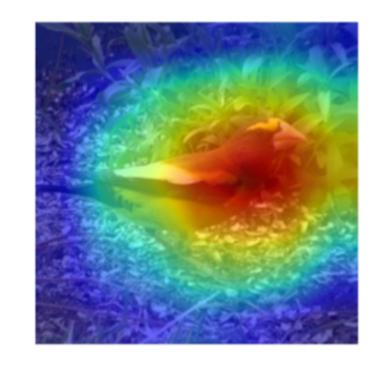


Interview

Merlin app



Prototypes



Heatmaps

Score for Evening Grosbeak

= 1.7

= - 1.2 long beak

+ 1.1 yellow beak

+ 0.8 black feathers

- 0.7 white body

+ 0.5 yellow body

+ 0.1 round body

Concepts







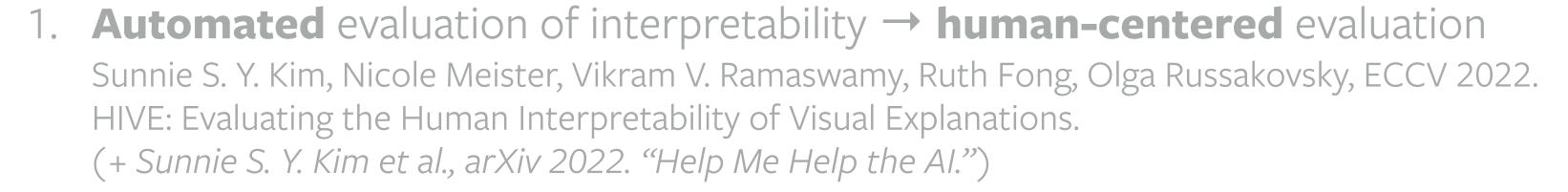
Examples

Challenges for human evaluation

- Skill cost: web development skills
- Financial cost: budget for AMT experiments
- Time cost: human study design and iteration (e.g. task feasibility, IRB approval, quality control)

Takeaway: As a research community, invest in and reward human evaluation studies (like dataset development).

Roadmap





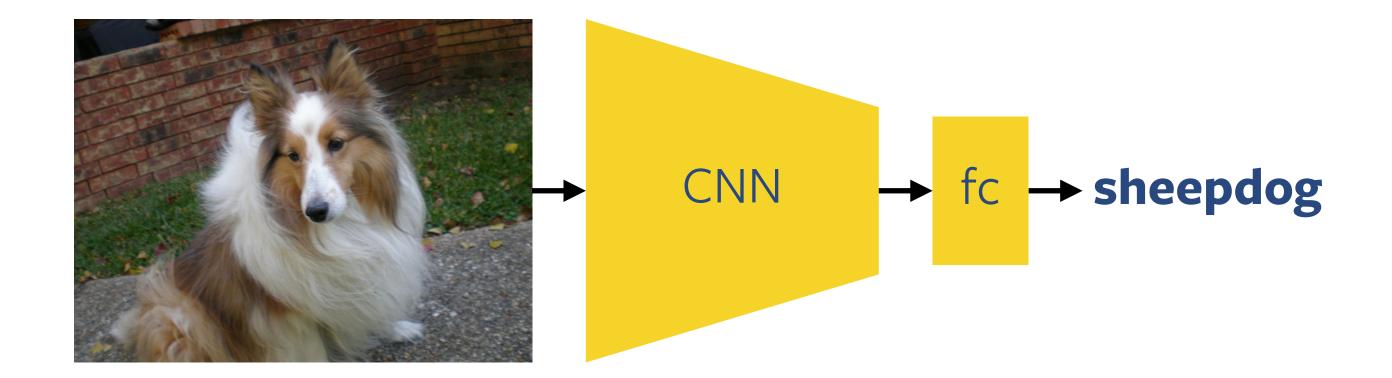
Vikram V. Ramaswamy

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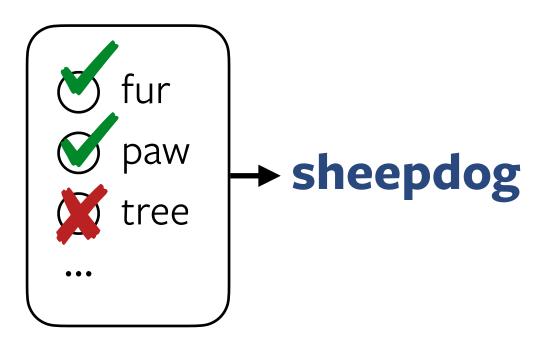
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Concept-based explanations

Why did the model predict **sheepdog**?



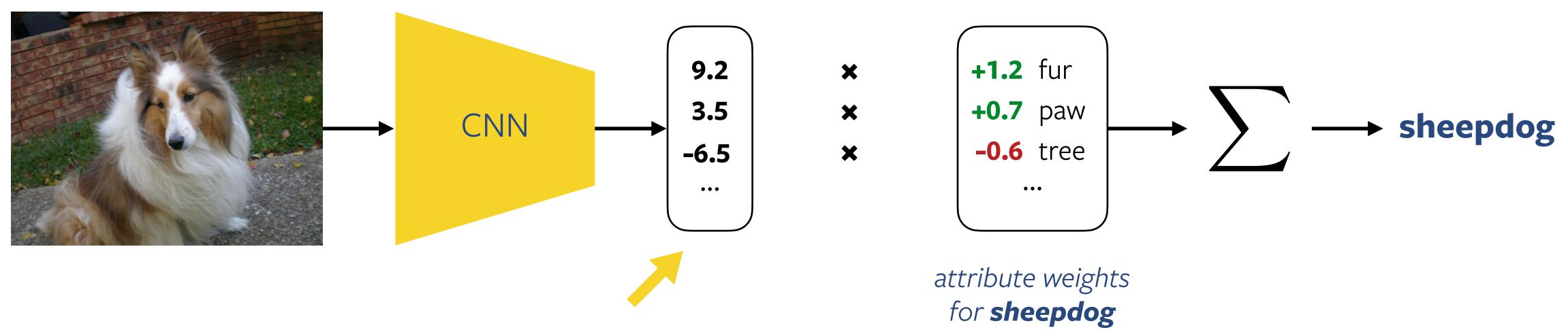
Concept-based explanation



Pro: Labelled concepts are interpretable to humans

Concept Bottleneck: Linear Combination of Labelled Attributes

Predict present or Linearly combine with absence of attribute attribute weights

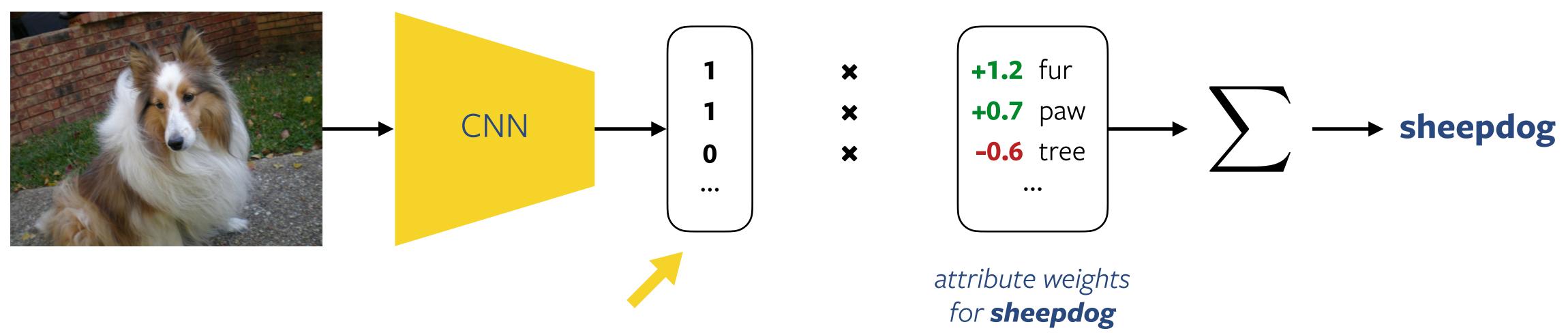


Con: Problems with predicting fractional values

- hard to interpret
- can encode hidden information

Concept Bottleneck: Linear Combination of Labelled Attributes

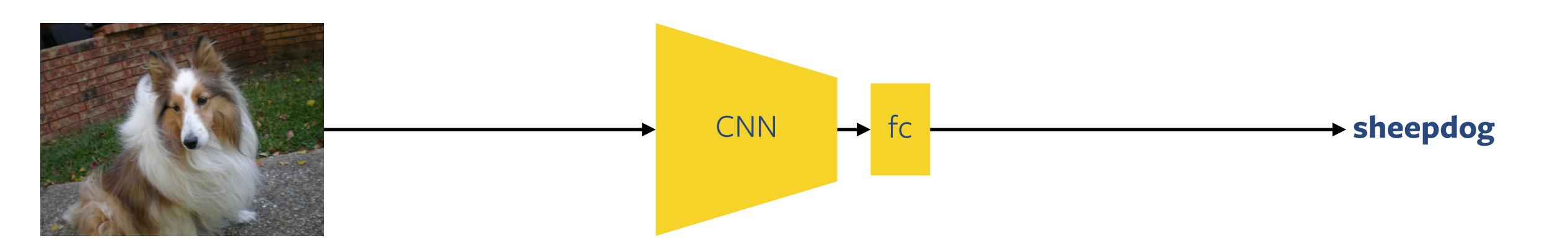
Predict present or Linearly combine with absence of attribute attribute weights



Con: Problems with predicting fractional values

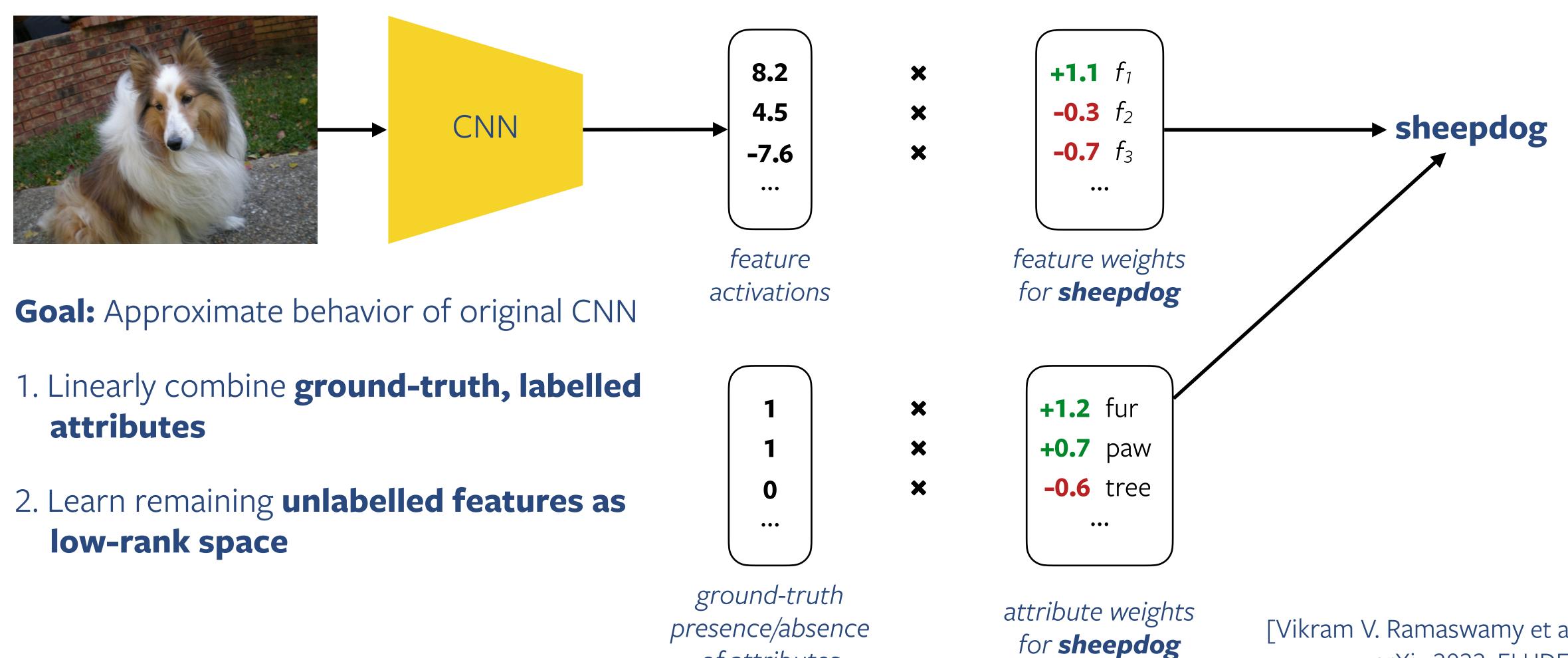
- hard to interpret
- can encode hidden information

ELUDE: Explanation via a Labelled and Unlabelled DEcomposition of features



Goal: Approximate behavior of original CNN

ELUDE: Decomposition of labelled and unlabelled features



of attributes

Attributes only: % of model explained via labelled attributes decreases as task complexity increases

Task	% Explained
2-way scene classification (indoor vs. outdoor)	95.7
16-way scene classification (home/hotel, workplace, etc.)	46.2
365-way scene classification (airfield, bowling alley, etc.)	28.8

Without fractional values encoding hidden information, attribute-only approaches are limited.

Attributes only: % of model explained via labelled attributes decreases as task complexity increases

Scene group	TPR
home/hotel	99.0
comm-buildings/towns	93.5
water/ice/snow	60.6
forest/field/jungle	40.2
workplace	14.2
shopping-dining	12.4
cultural/historical	6.5
cabins/gardens/farms	4.7
outdoor-transport	3.2
indoor-transport	0.0
indoor-sports/leisure	0.0
indoor-cultural	0.0
mountains/desert/sky	0.0
outdoor-manmade	0.0
outdoor-fields/parks	0.0
industrial-construction	0.0

Without fractional values encoding hidden information, attribute-only approaches are limited.

Features + attributes: Unlabelled features correspond to human-interpretable concepts

bowling alleys? people eating? outdoor sports fields? castle-like buildings?

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cabins/gardens/farms	4.7
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indoor-transport	0.0
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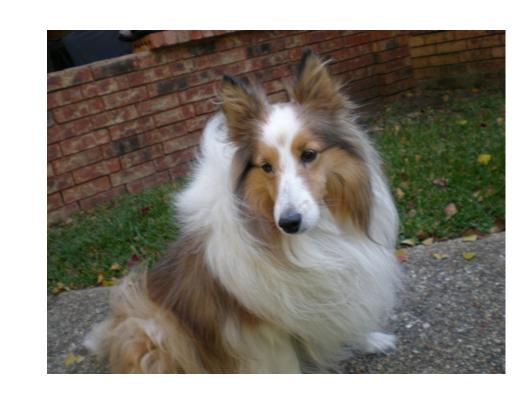
attributes only

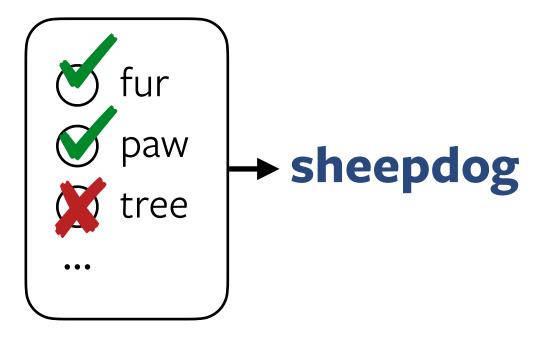
Follow up: Overlooked factors in concept-based explanations

Factor #1: Probe dataset choice matters (i.e. different datasets → different explanations).

Factor #2: Some concepts used in explanations are harder to learn than output classes.

Factor #3: Humans can reason with a small amount of concepts (i.e. max 32 concepts).





Follow up: Overlooked factors in concept-based explanations

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Factor #2: Some concepts used in explanations are harder to learn than output classes.

Factor #3: Humans can reason with a small amount of concepts (i.e. max 32 concepts).

Suggestion: Choose a probe dataset with a similar distribution to that of the training dataset.

Training dataset: Places365



hockey arena

Probe dataset:

ADE20k

{grandstand, goal, ice rink, scoreboard}

Pascal

{plaything, road}

Concepts used to explain **hockey arena** differ based on probe dataset.

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Factor #3: Humans can reason with a small amount of concepts (i.e. max 32 concepts).

Suggestion: Only use easily learnable concepts in concept-based explanations.

Training dataset: Places 365



bathroom (norm AP = 43.3)

Probe dataset: Broden

Concept	norm AP
toilet	39.9
shower	18.8
countertop	12.6
bathtub	11.1
screen door	9.6

The class **bathroom** is easier to learn than the concepts used to explain it.

Follow up: Overlooked factors in concept-based explanations

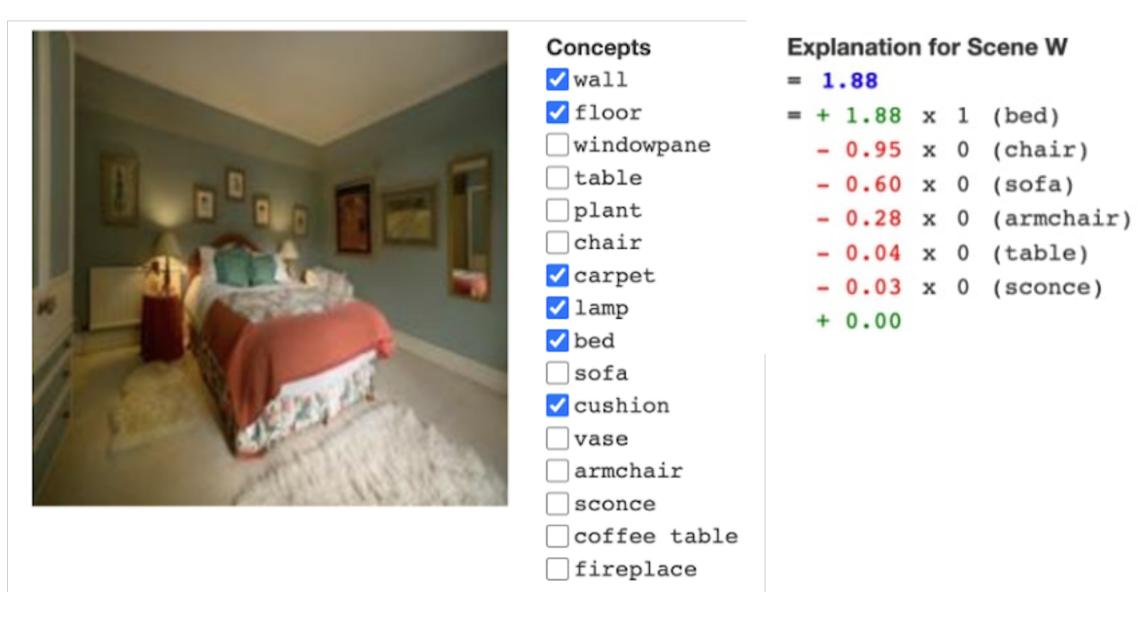
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- 1. Which scene do you think the model predicts?
- 2. How many concepts would you prefer?

AMT human study (N = 125 participants)



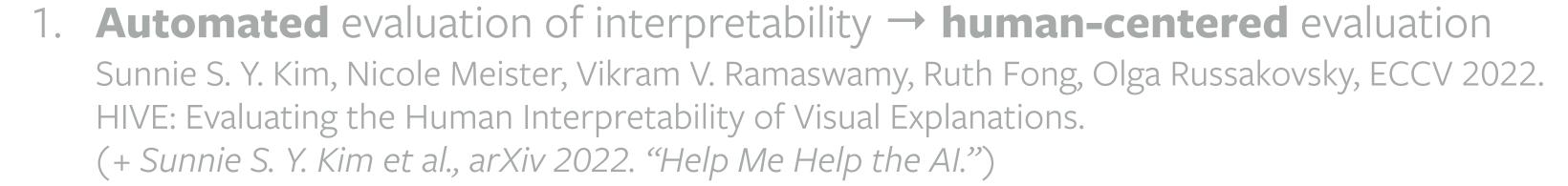
Participants struggle to identify concepts as the number of concepts increases. (71.7% for 8 concepts; 56.8% for 32 concepts)

Challenges for concept-based methods

- Attributes-only approaches are incomplete
- Develop more methods to explain the "remainder"
 - Interpretable Basis Decomposition (IBD) [Zhou et al., ECCV 2018]
 - Automatic Concept-based Explanations (ACE) [Ghorbani et al., NeurIPS 2019]
 - ConceptSHAP [Yeh et al., NeurIPS 2020]
- Ensure that concept-based explanations are truly human-interpretable

Takeaway: Be realistic about the benefits and limitations of an interpretability method and work towards addressing the limitations.







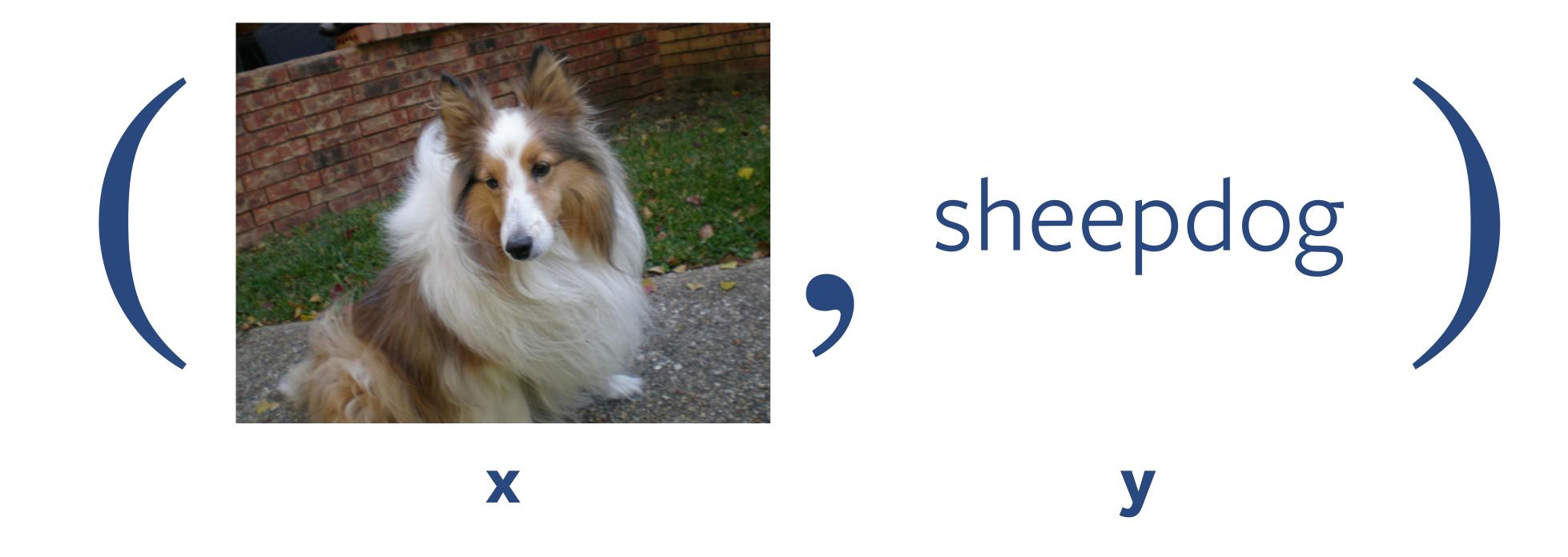
Iro Laina

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Supervised Learning



Self-Supervised Learning





Visual Concept



























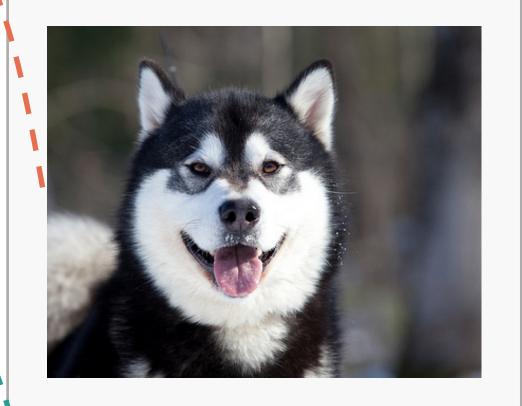






Query







Visual Concept















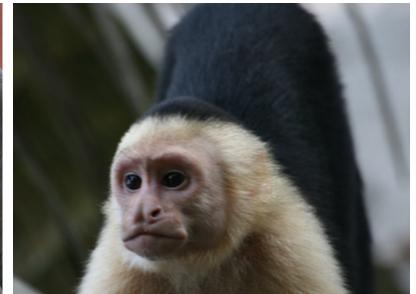
















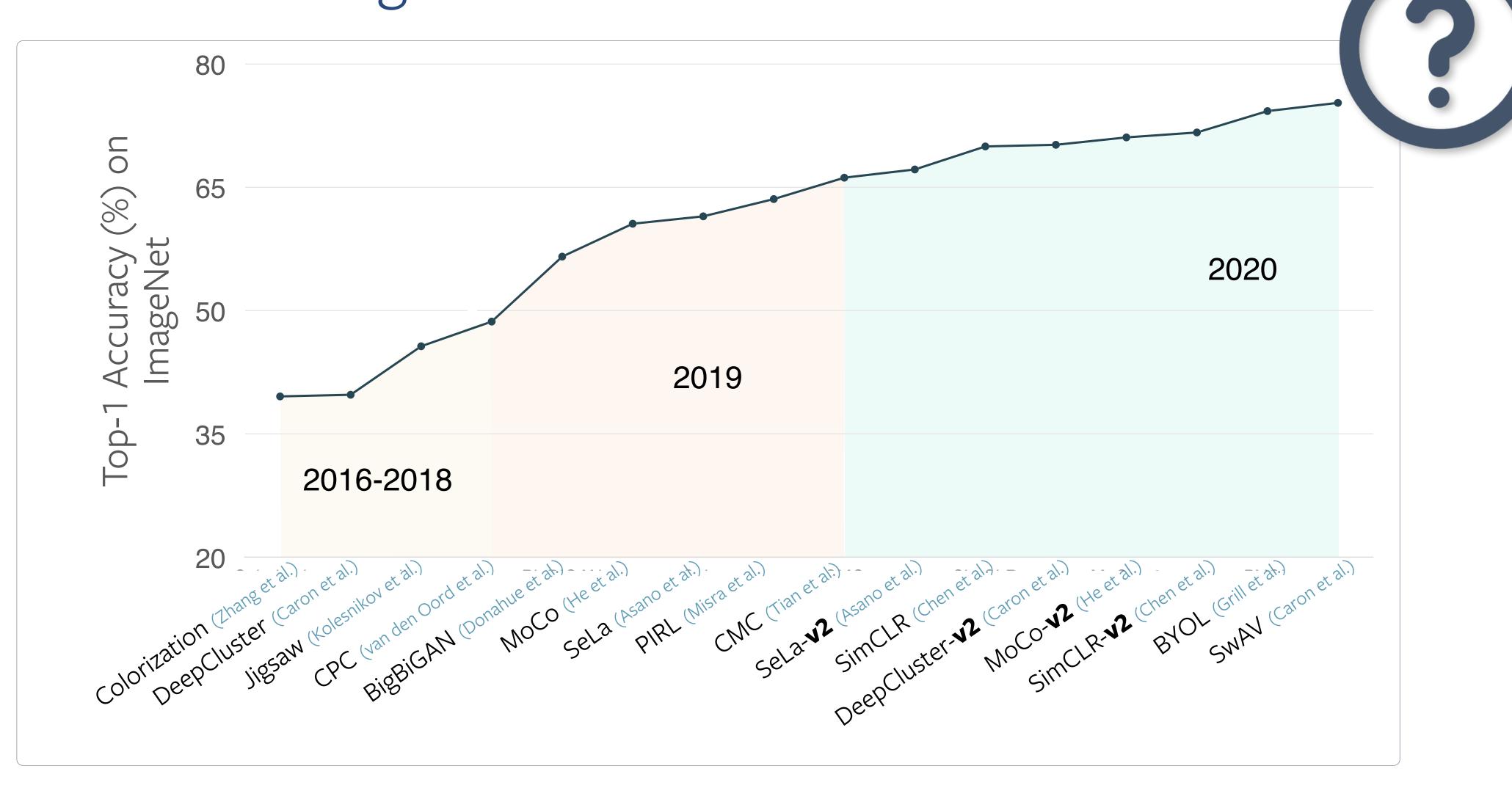
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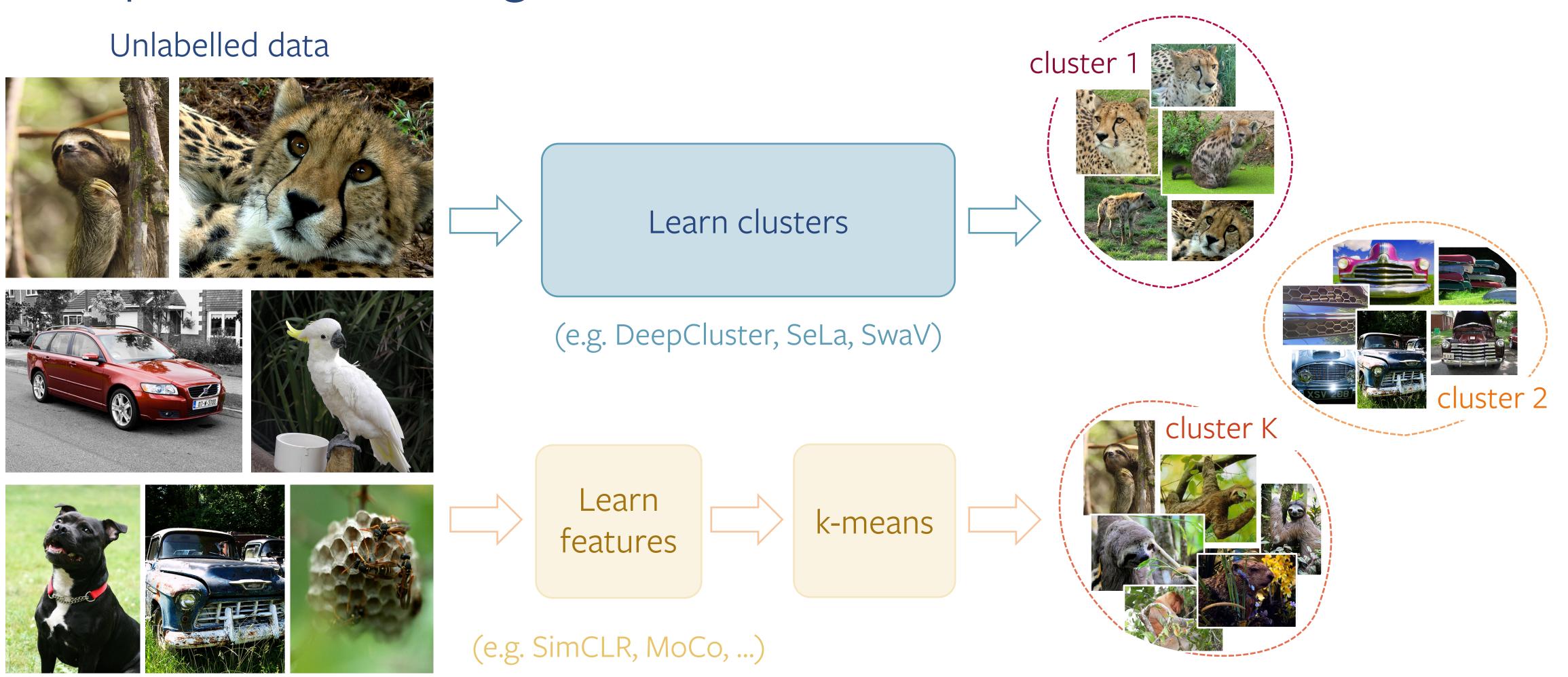




Self-Supervised Learning



Self-Supervised Learning





Learnability







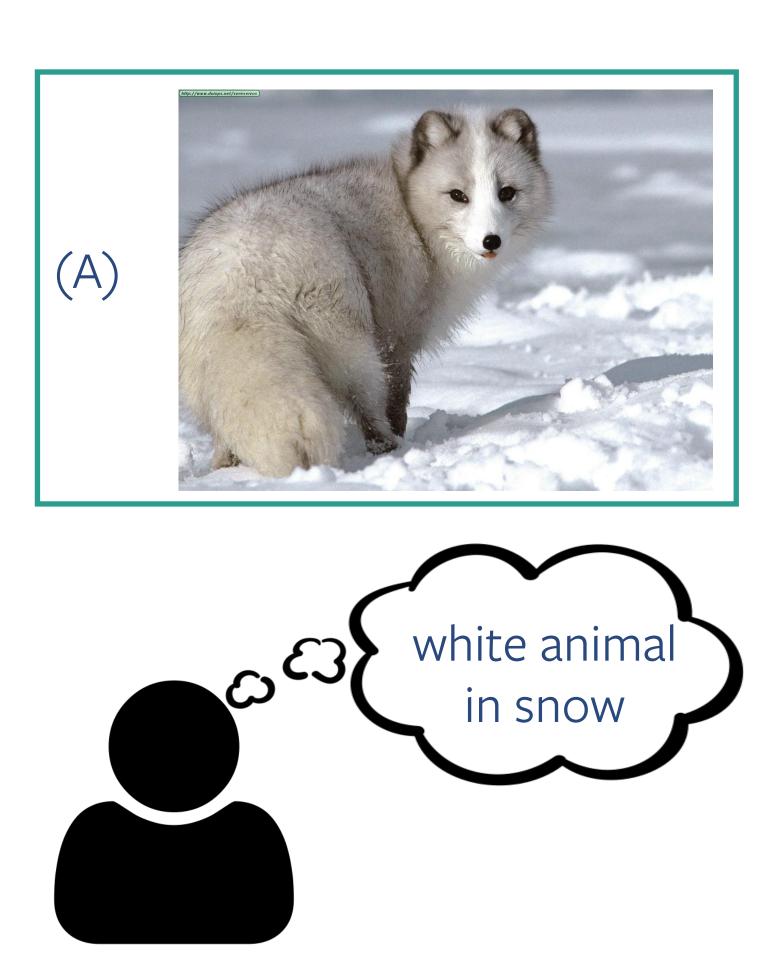
[Iro Laina, et al., NeurIPS 2020. Quantifying Learnability and Describability.] 46

(B)



Learnability

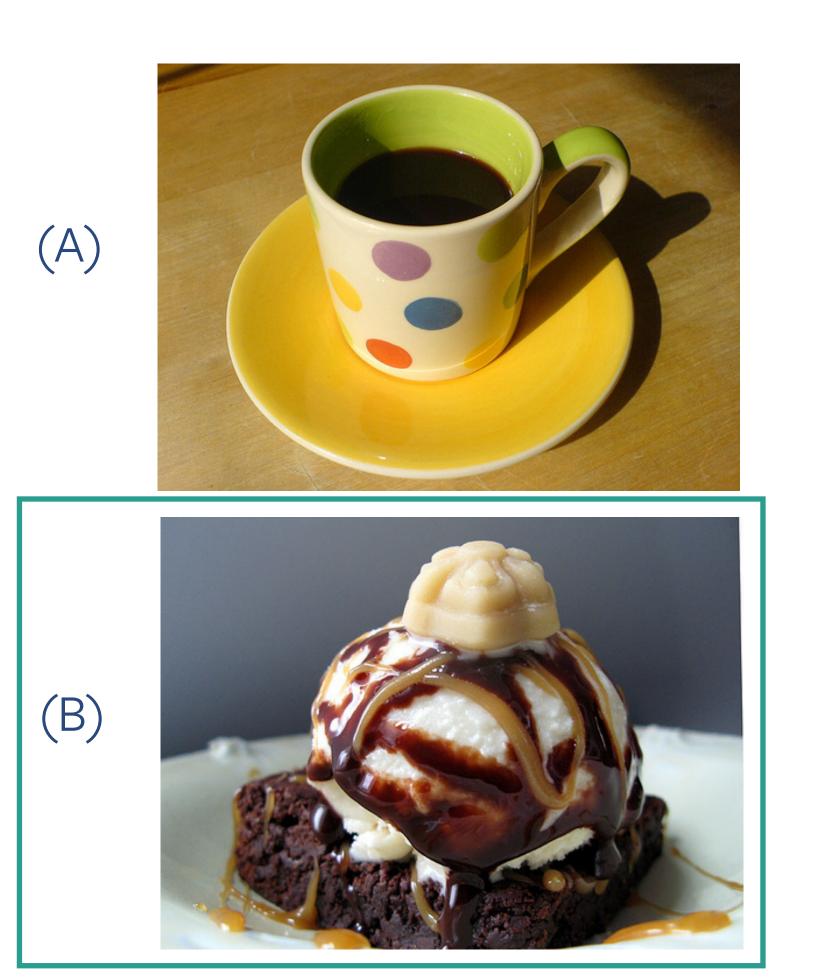






Describability

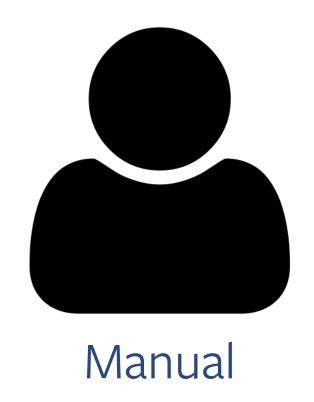






Describability





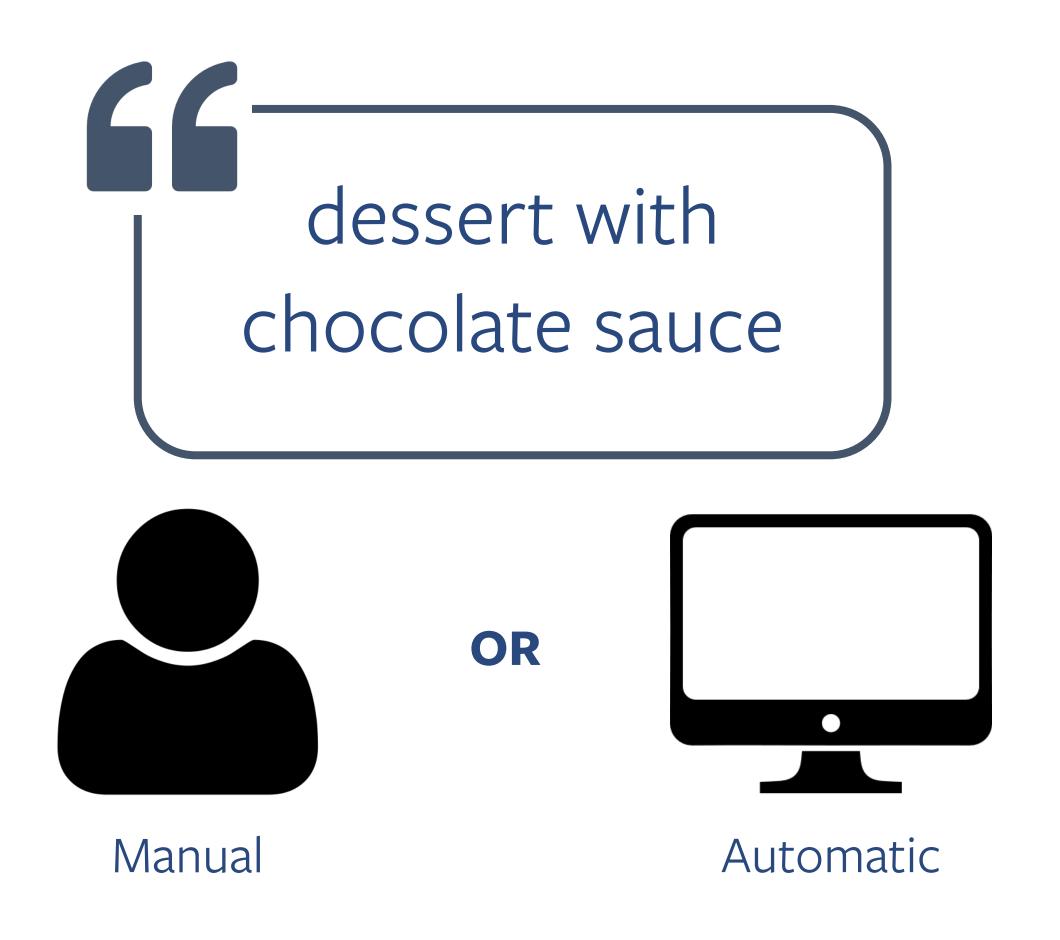




(B)



Describability





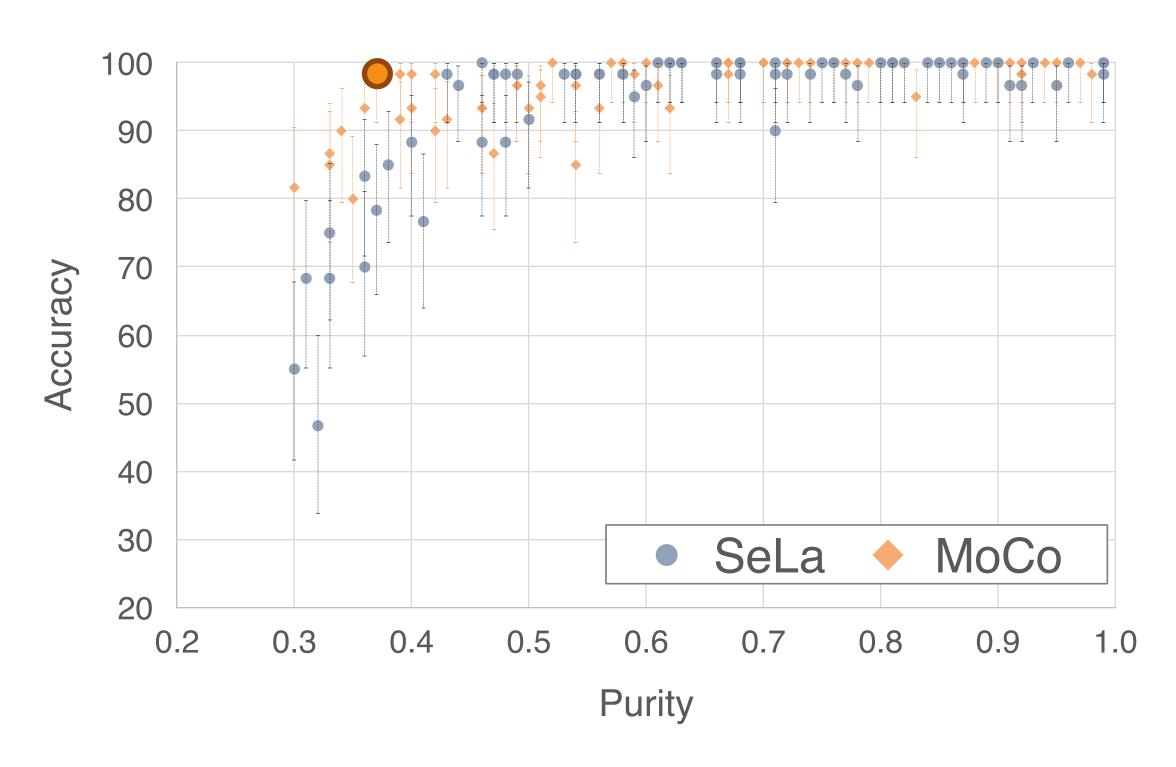


(B)

(A)

Evaluation

Learnability

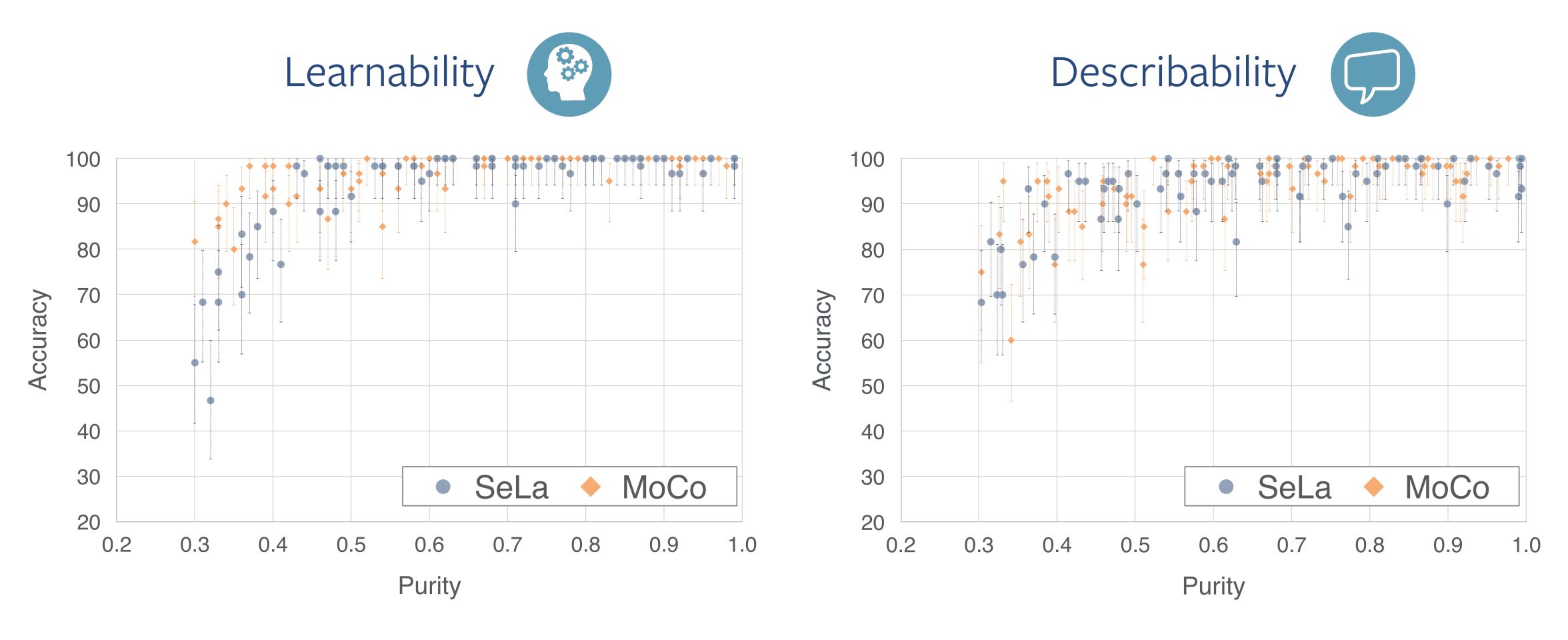


ImageNet cluster purity:

how correlated is a cluster's contents to a single ImageNet label?

purity = 1 → cluster only contains images from a single ImageNet label

Evaluation



Follow up: Laina et al., ICLR 2022.

Measuring the Interpretability of Unsupervised Representations via Quantized Reverse Probing.

Findings

ImageNet cluster purity

SeLa: cluster 393 (0.668) a newborn baby lying on a bed

SeLa: cluster 332 (0.542) a snake on a hand

MoCo: cluster 2335 (0.459) view of the mountains from the lake











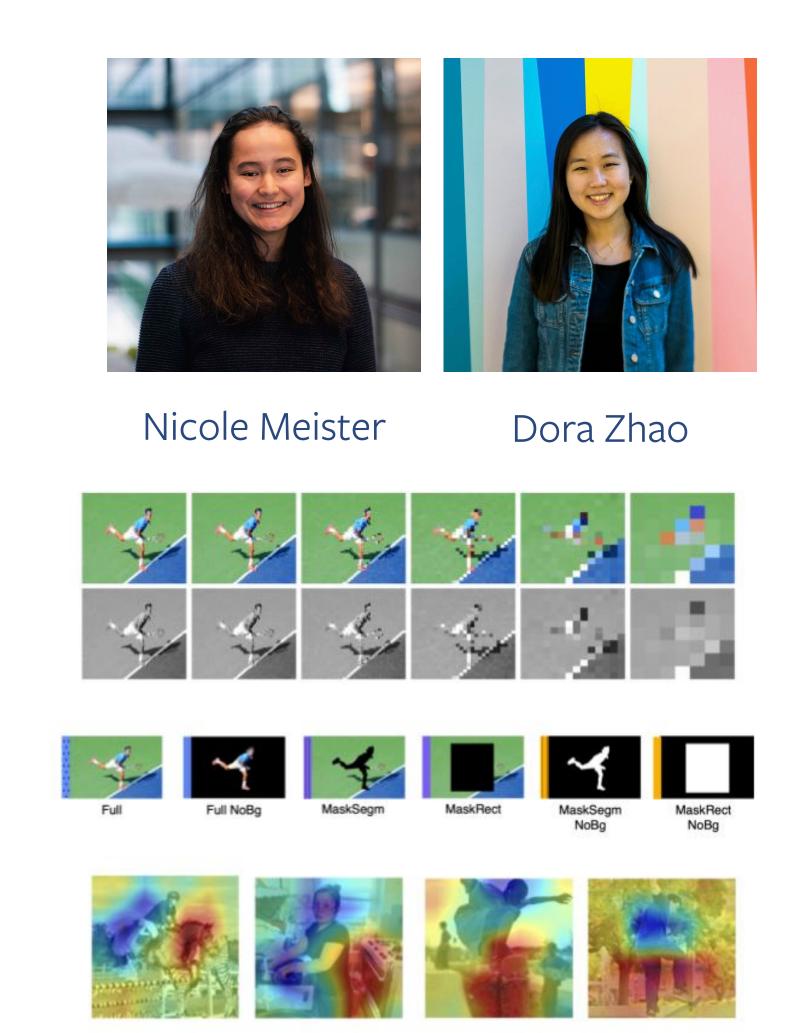


93.3%



95.0%

ML fairness cross-talk: Gender artifacts in CV



Average color Average pose 1.0 0.2 O5 50 **Distance** Green Red Blue Area Aspect

Differences in top 20 female vs. male* predicted images.

Gender artifacts are **everywhere** in visual datasets.

1. Resolution &

Color

2. Person &

Background

3. Contextual

Objects

Horse

Oven

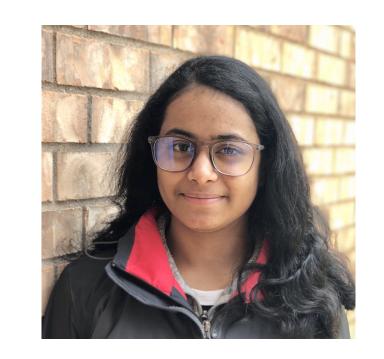
(* binary perceived gender expression; Nicole Meister*, Dora Zhao*, Angelina Wang, Vikram V. Ramaswamy, Ruth Fong, Olga Russakovsky, arXiv 2022. we do not condone gender prediction.)

Skateboard

Skateboard

Gender Artifacts in Visual Datasets.

Extending Interpretability to Geosciences



Indu Panigrahi

HIDDEN LAYERS



Elizabeth Barnes

OUTPUT LAYER

Layer-wise Relevance Propagation <

INPUT LAYER

2-m Temperature

Understand and improve a coral reef fossil segmentation model (our work)

Identify important regions in the world that reliably predict seasonal climate (Elizabeth Barnes' group at Colorado State)

Challenges for novel frontiers in deep learning

- Need to contextualize interpretability to the novel frontiers
- Lack of access to standardized implementations

Takeaway: Collaboration and buy-in from novel research areas is crucial for interpretability in those frontiers.

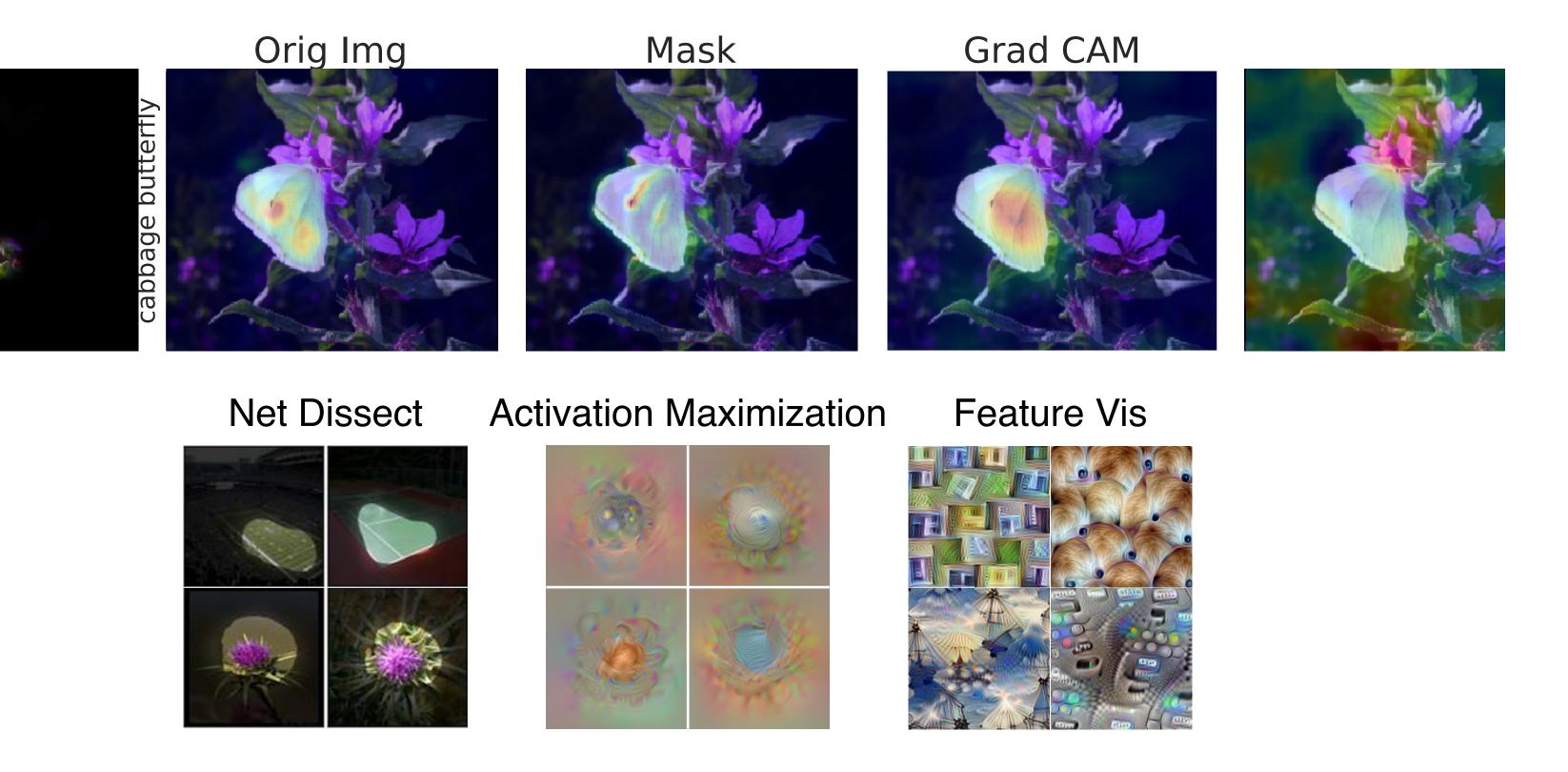
Roadmap

- Automated evaluation of interpretability → human-centered evaluation
 Sunnie S. Y. Kim, Nicole Meister, Vikram V. Ramaswamy, Ruth Fong, Olga Russakovsky, ECCV 2022.
 HIVE: Evaluating the Human Interpretability of Visual Explanations.
 (+ Sunnie S. Y. Kim et al., arXiv 2022. "Help Me Help the Al.")
- 2. Explanations via **labelled attributes** → explanations via **labelled attributes and unlabelled features**Vikram V. Ramaswamy, Sunnie S. Y. Kim, Nicole Meister, Ruth Fong, Olga Russakovsky, arXiv 2022.

 ELUDE: Generating Interpretable Explanations via a Decomposition into Labelled and Unlabelled Features.

 (+ Vikram V. Ramaswamy et al., arXiv 2022. Overlooked Factors in Concept-based Explanations.)
- 3. Interpretability of **supervised** models → interpretability of **self-supervised** models Iro Laina, Ruth Fong, Andrea Vedaldi, NeurIPS 2020. Quantifying Learnability and Describability of Visual Concepts Emerging in Representation Learning.
- 4. Interpretability in ML + CV → interdisciplinary research (interpretability + X)
 (+ Nicole Meister* and Dora Zhao* et al., arXiv 2022. Gender Artifacts in Visual Datasets.)
 (+ Indu Panigrahi et al., arXiv 2022. Improving Fine-Grain Segmentation via Interpretable Modifications.)
- Static visualizations → interactive visualizations
 Ruth Fong, Alexander Mordvintsev, Andrea Vedaldi, Chris Olah, VISxAI 2021.
 Interactive Similarity Overlays.
 (+ Devon Ulrich and Ruth Fong, in prep. Interactive Visual Feature Search.)

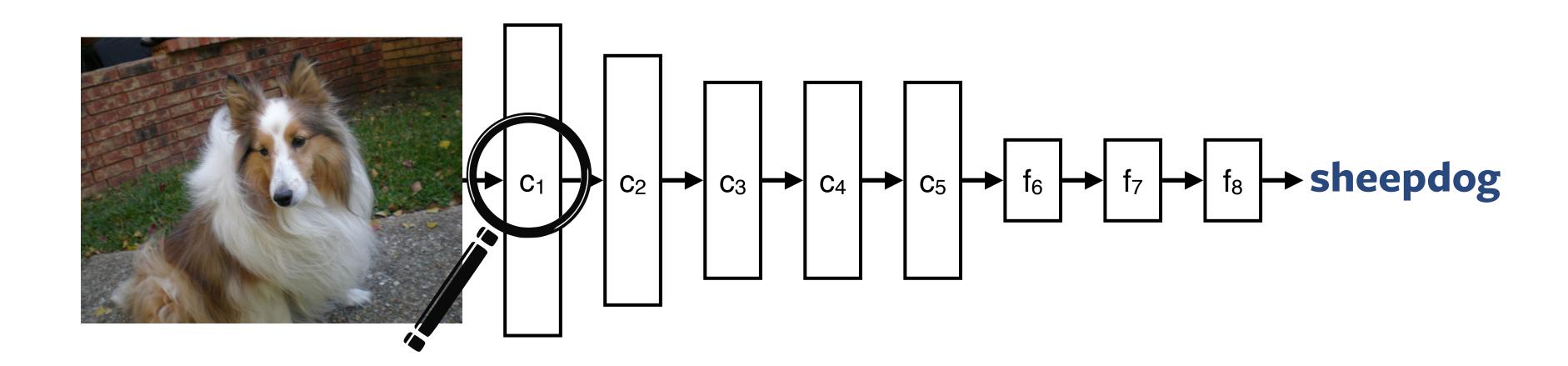
Interpretability Tools



Current tools render static images.

Future tools should be interactive!

Interpretability: Interactive, Exploratory, Easy-to-use

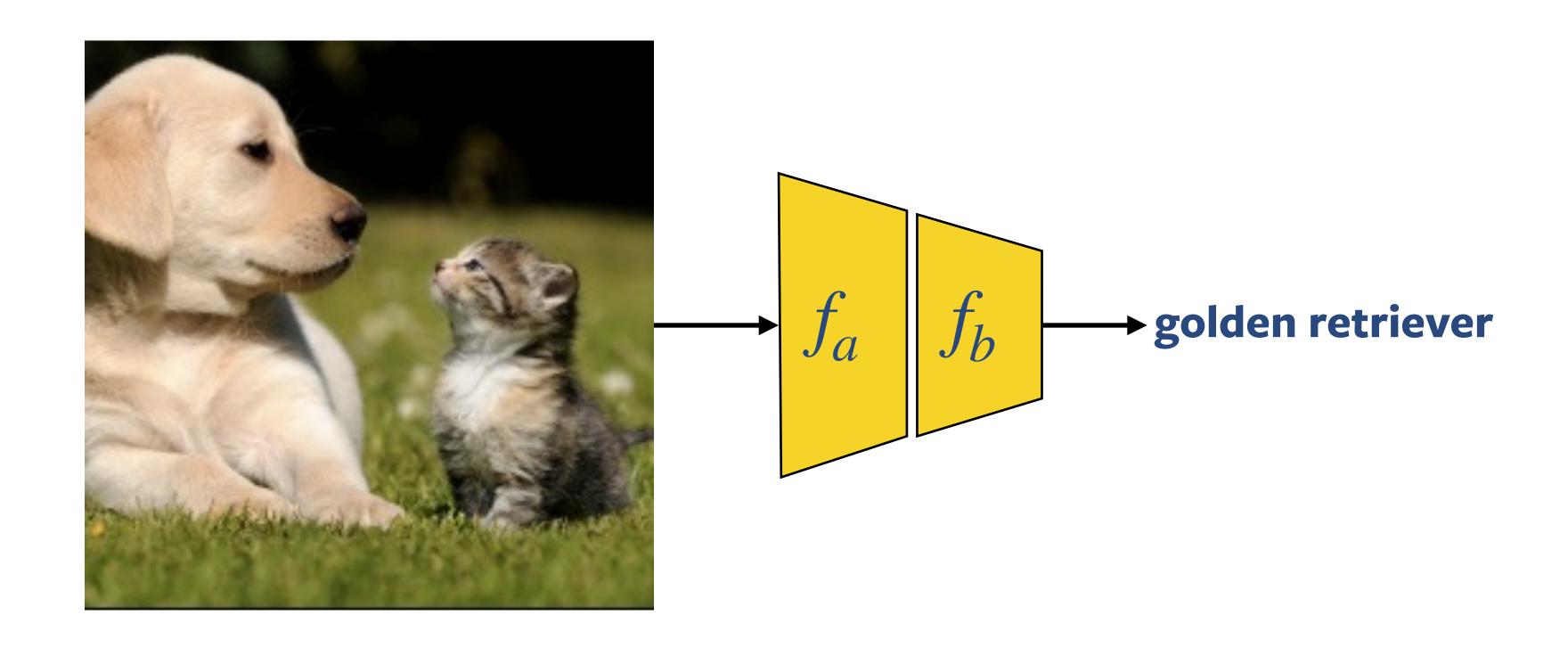


How can we **easily explore** hypotheses about the model?

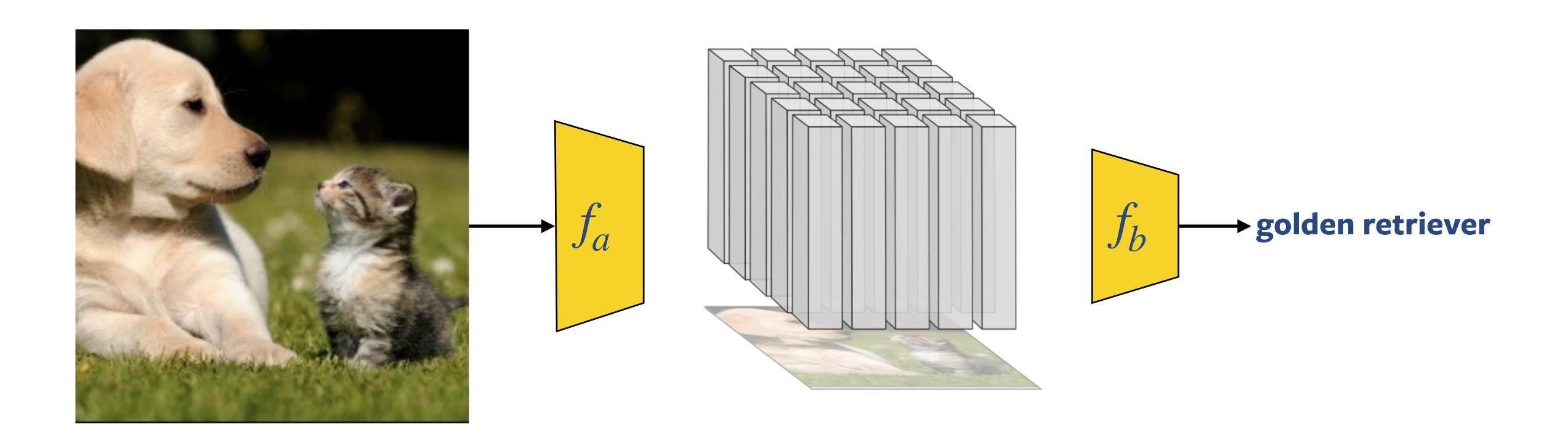
Interactive Similarity Overlays



Spatial Activations



Spatial Activations

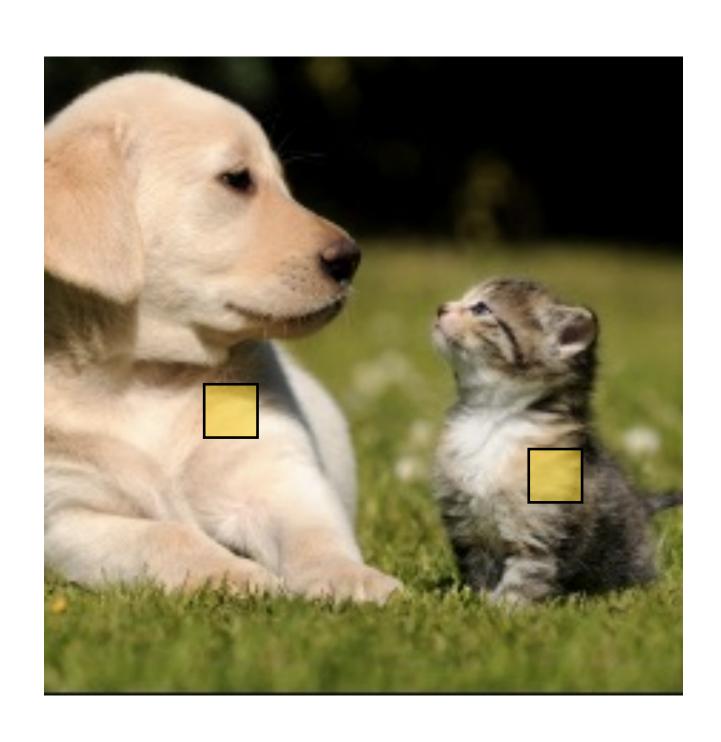


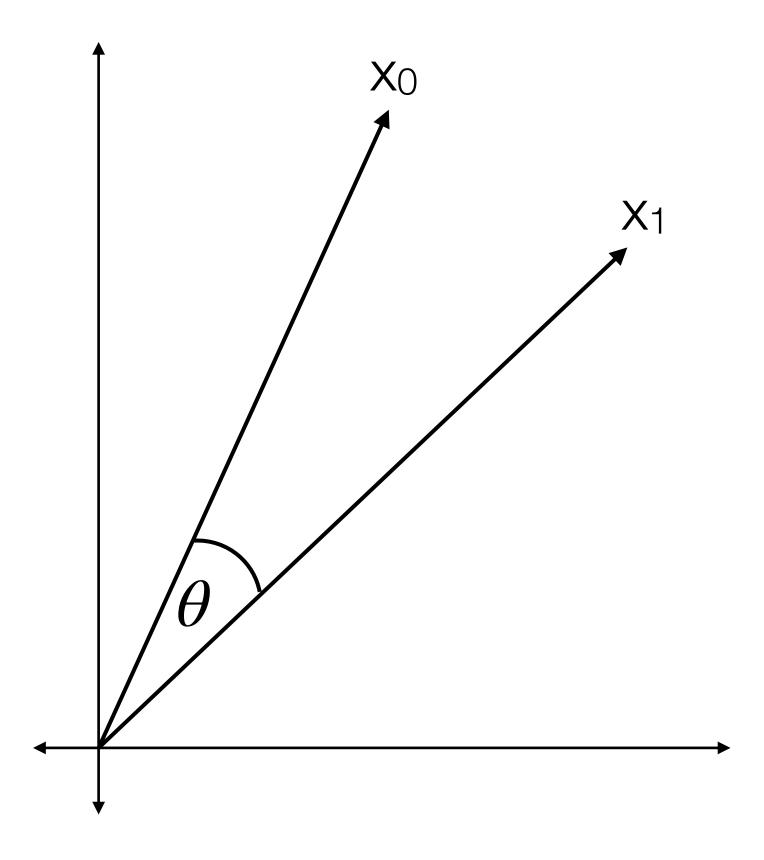
Interactive Similarity Overlays



 $a_{6,5} = [17.7, 0, 103.4, 6.81, 0, 0, 0, 0, 32.0, 0, 0, 0, ...]$

Interactive Similarity Overlays

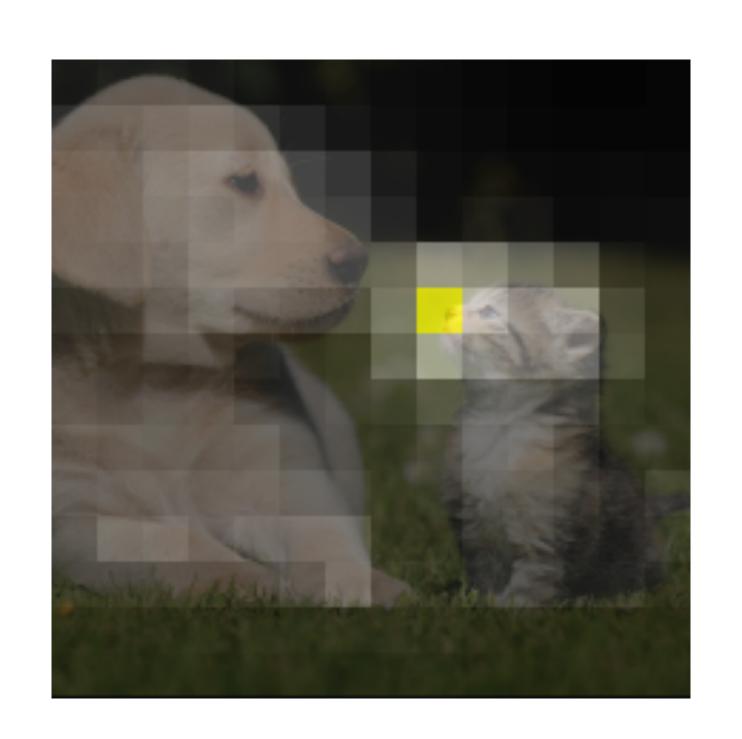




Demo: Interactive Similarity Overlays



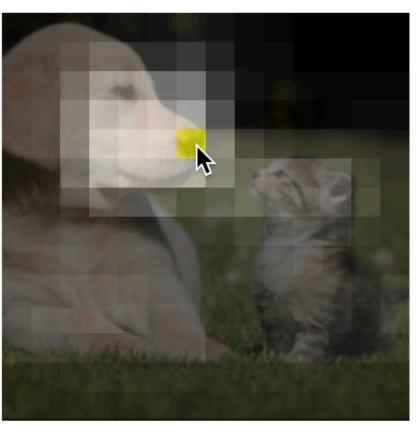
bit.ly/interactive_overlay



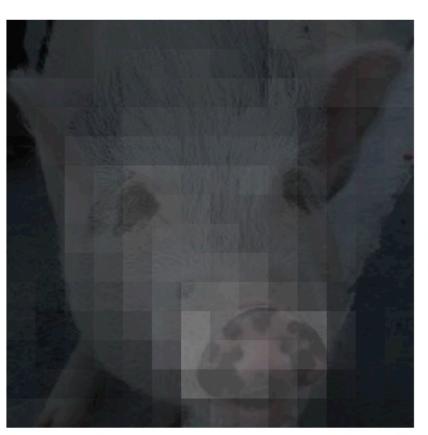
Interactive visualizations empower practitioners to easily explore model behavior.

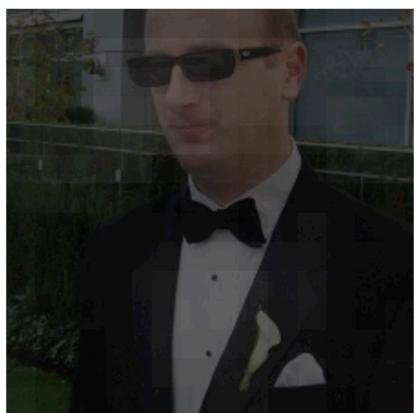
Interactive Similarity Overlays

An interactive tool for understanding what neural networks consider similar and different.

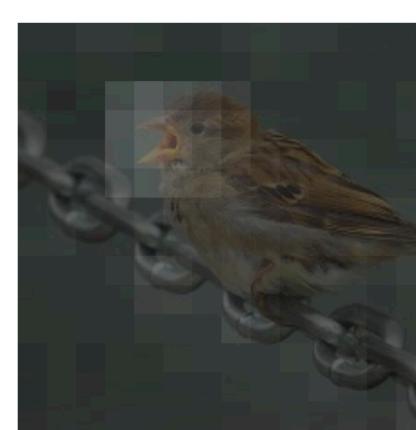






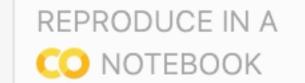






Hover over different parts of the above images. This interactive visualization shows how similar (or different) a neural network considers different image patches to the current image patch (highlighted in yellow). Try hovering over animal features (e.g., noses, eyes, faces) and background regions.

This article is best viewed in Google Chrome.



Layers with different spatial resolutions.



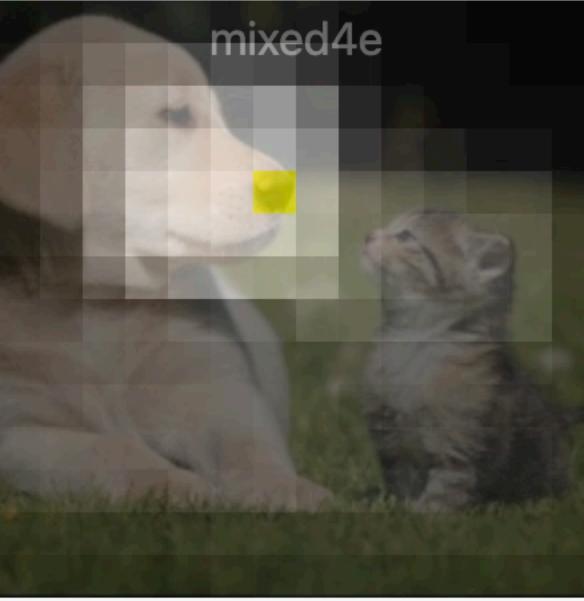


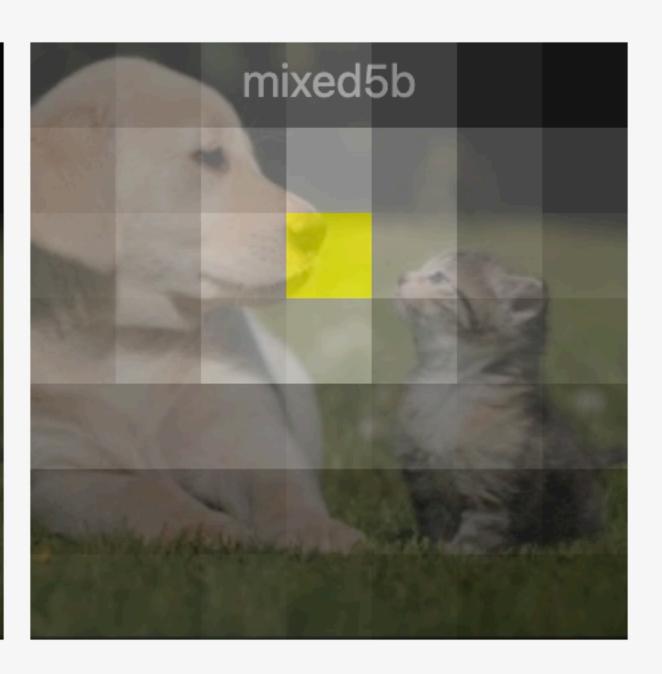




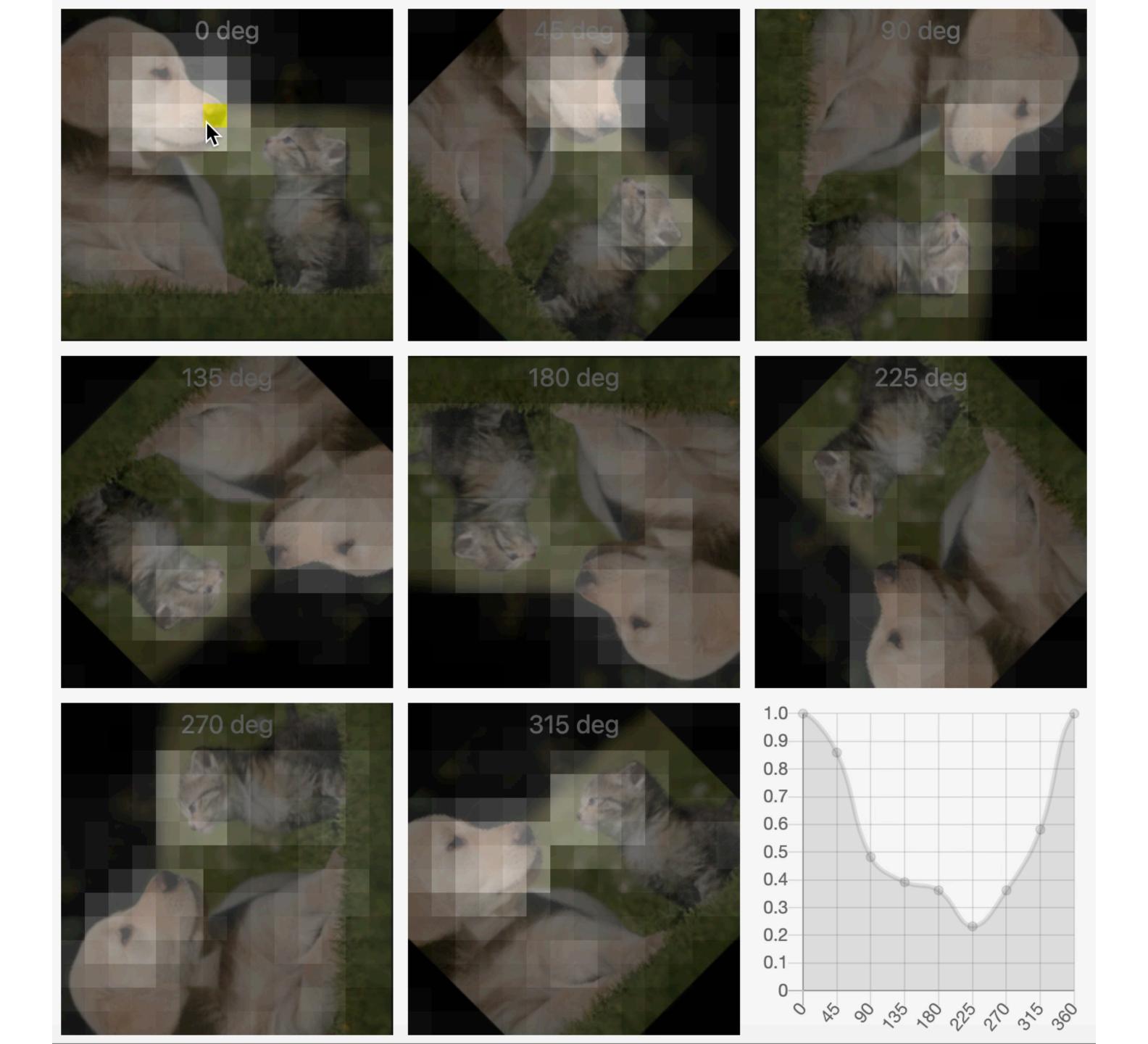








The location of the highlighted image patch (in yellow) has been synchronized across images, such that the overlays show similarity scores with respect to each image's highlighted patch (i.e., no similarity scores were computed between images). Consider exploring edges in mixed3b layers and semantic features (e.g., objects and object parts, like noses and eyes) in mixed4e and mixed5b layers.



```
Interactive Overlays: Basic Examples (TensorFlow)
       File Edit View Insert Runtime Tools Help Cannot save changes
     + Code + Text
                      Copy to Drive
\equiv
Q
      [ ] # Get images
           img_urls = ["https://raw.githubusercontent.com/ruthcfong/interactive_overlay/master/images/dog_cat.jpeg",
                       "https://raw.githubusercontent.com/ruthcfong/interactive_overlay/master/images/flowers.jpeg",
{x}
                       "https://raw.githubusercontent.com/ruthcfong/interactive_overlay/master/images/pig.jpeg",
                       "https://raw.githubusercontent.com/ruthcfong/interactive_overlay/master/images/bowtie_guy.jpeg",
"https://raw.githubusercontent.com/ruthcfong/interactive_overlay/master/images/beer.jpeg",
                       "https://raw.githubusercontent.com/ruthcfong/interactive_overlay/master/images/chain.jpeg"]
           imgs = [load(url) for url in img_urls]
           model = models.InceptionV1()
           model.load_graphdef()
      [ ] acts = get_acts(model, imgs[0], "mixed4d")
           grid = np.hstack(np.hstack(cossim_grid(acts, acts)))
           colored_grid = add_color_index(grid, acts.shape[0])
          lucid_svelte.CossimOverlay({
               "image_url": _image_url(imgs[0]),
               "masks_url": _image_url(colored_grid),
               "size": 224,
               "N": acts.shape[0],
           })
```

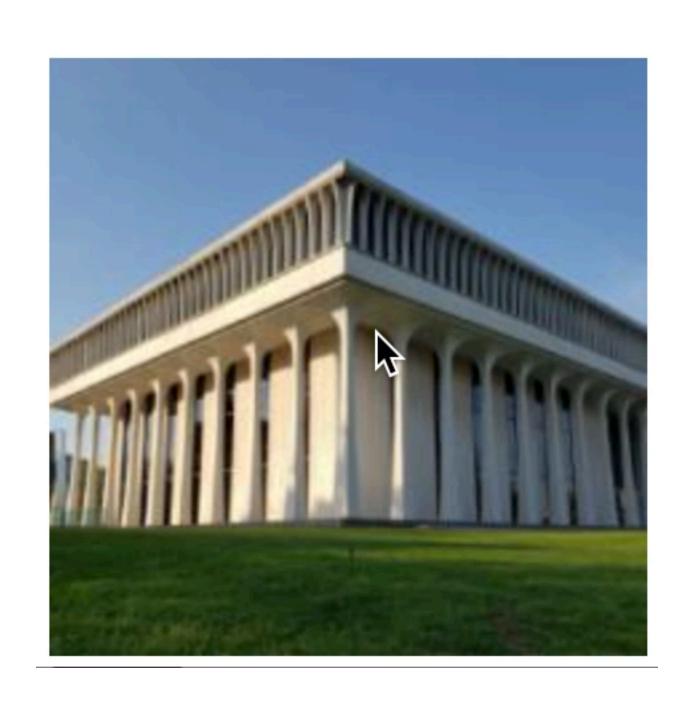
Preview: Interactive Visual Feature Search





bit.ly/interactive_search

Devon Ulrich







Challenges for interactive visualizations

- Skills cost: web development skills
 - HuggingFace Spaces, Gradio, Streamlit
- Potential misuse: Intuition-based insights should be validated via quantitative experiments
- Poor incentives: software tooling for research is often not rewarded
- Inadequate publishing structures: Sparse publishing venues for interactive articles and/or visualizations
 - Distill journal hiatus
 - CVPR demo track
- Lack of cross-talk: HCI and AI communities are developing interpretability tools fairly independently

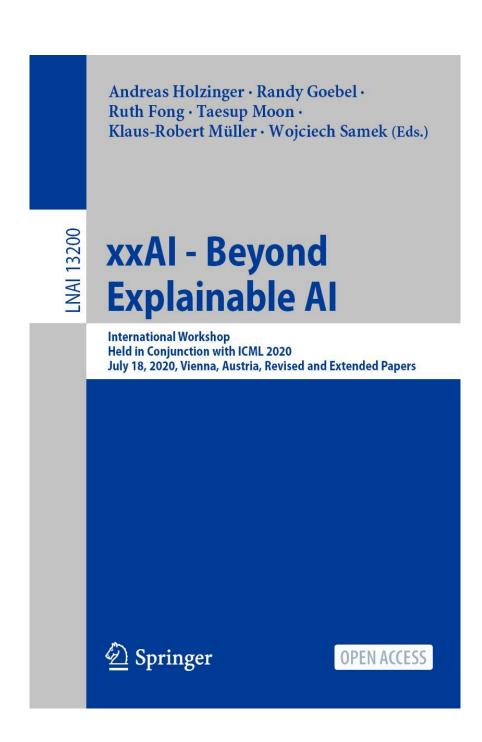
Takeaway: Relevant research communities should collectively invest in and reward software tooling for research, particularly interactive tools.

Takeaways from challenges in interpretability

- **Human studies:** As a research community, invest in and reward human evaluation studies (like dataset development).
- (Concept-based) interpretability: Be realistic about the benefits and limitations of an interpretability method and work towards addressing the limitations.
- **New frontiers:** Collaboration and buy-in from novel research areas is crucial for interpretability in those frontiers.
- Interactive visualizations: Relevant research communities should collectively invest in and reward software tooling for research, particularly interactive tools.

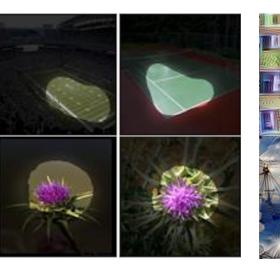
Directions for the next decade of interpretability

- 1. Develop interpretability methods for diverse domains
 - Beyond CNN classifiers: self-supervised learning, generative models, etc.
- 2. Center **humans** throughout the development process
 - In design, co-develop methods with real-world stakeholders.
 - In evaluation, measure human interpretability and utility of methods.
 - In deployment, package interpretability tools for the wider community.



ICML 2020 workshop on XXAI

An incomplete retrospective: the first decade of interpretability





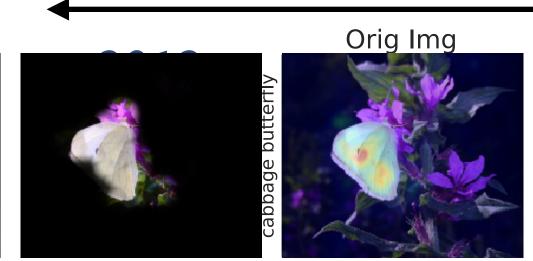
Primarily focused on understanding and approximating **CNNs**

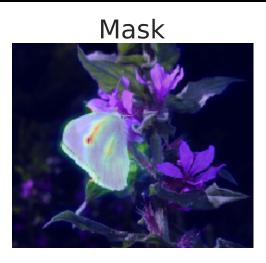
task y

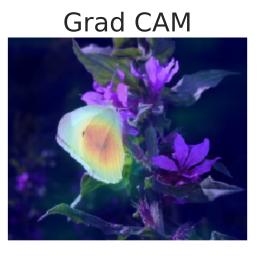
bird species

Feature visualization (2013-2018)

Activation Max., Feature Inversion, Net Dissect, Feature Vis.

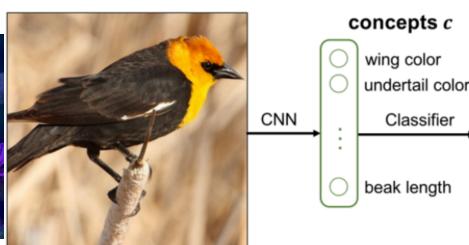












2022

Attribution heatmaps (2013-2019)

Gradient, <u>Grad-CAM</u>, Occlusion, <u>Perturbations</u>, RISE

Interpretable-by-design (2020-now)

Concept Bottleneck, ProtoPNet, ProtoTree

[Selvaraju et al., ICCV 2017; Fong* & Patrick* et al., ICCV 2019; ⁷⁴ Bau* & Zhou* et al., CVPR 2017; Olah et al., Distill 2017; Koh*, Nguyen*, Tang* et al., ICML 2020]

Into the future: the next decade of interpretability



2022







Dora Zhao



Nicole Meister Sunnie S. Y. Kim





Vikram V. Ramaswamy



Angelina Wang Ryan A. Manzuk



Iro Laina



Andrea Vedaldi





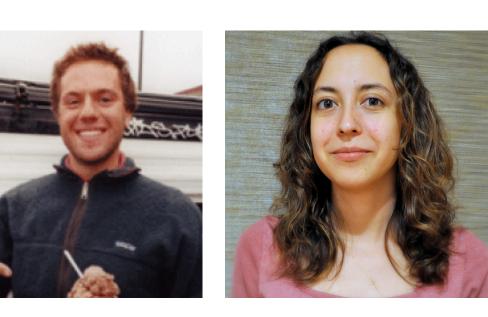
Elizabeth Anne Andrés Monroy-Hernández Watkins



Chris Olah



Alex Mordvintsev Adam C. Maloof



Olga Russakovsky























Talk acknowledgements: Brian Zhang, Sunnie S. Y. Kim, Vikram V. Ramaswamy, Olga Russakovsky Thank You